

Shellable drawings

and the crossing number of the complete graph

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Gelasio Salazar

UA San Luis Potosí
México



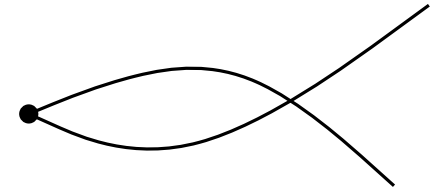
Pedro Ramos
Universidad de Alcalá
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Introduction

- * The **crossing number** of a graph G , $\text{cr}(G)$, is the smallest number of **crossings** between edges in all drawings of G .

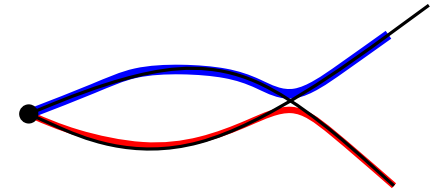
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 - ★ two edges share at most one point (including the vertex).
 - ★ all crossings are **proper** (no tangents).



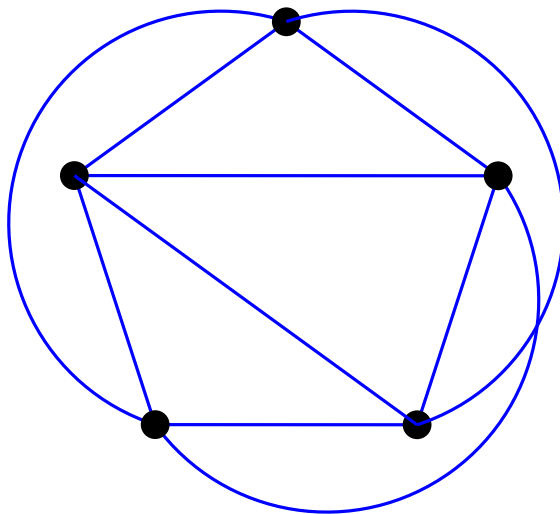
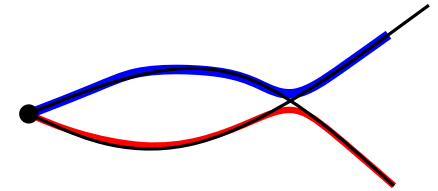
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$$\text{cr}(K_5) = 1$$

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The crossing number of a graph

- * Finding the crossing number of a graph is **hard**:
 - ★ Computing $\text{cr}(G)$ is **NP-hard**.
 - ★ If we add a **single edge** e to a **plane graph** G , computing $\text{cr}(G \cup \{e\})$ is also **NP-hard**.
[Cabello-Mohar, 2010]

A brief history of $cr(K_n)$

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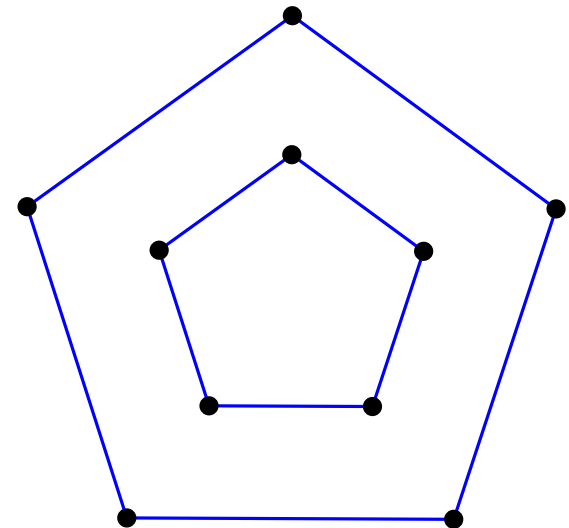
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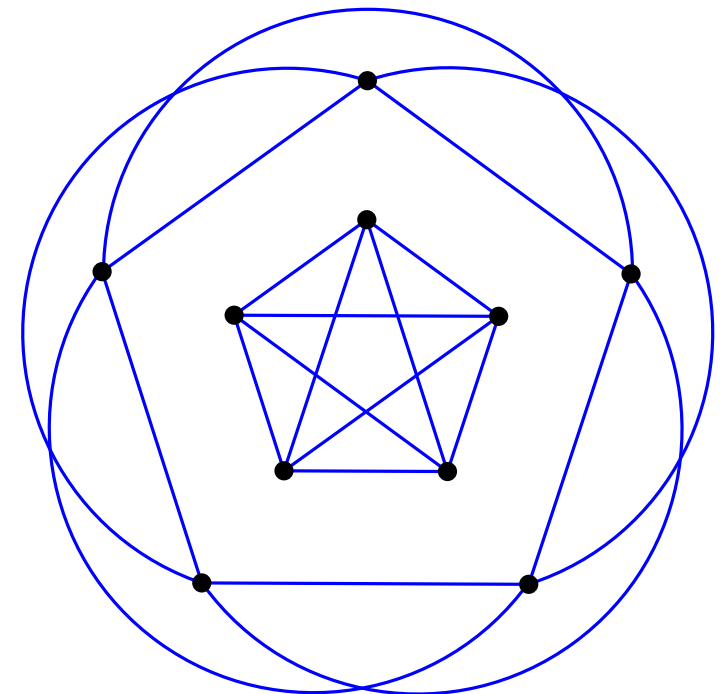
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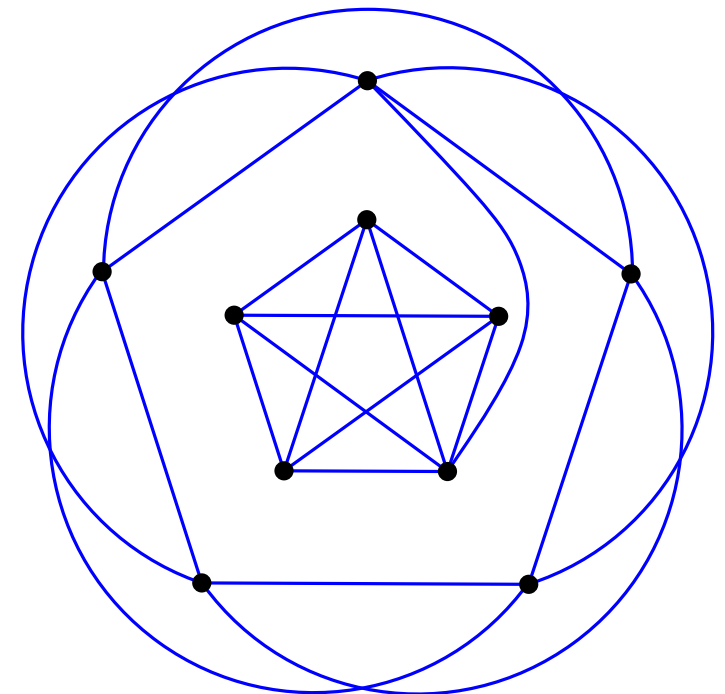
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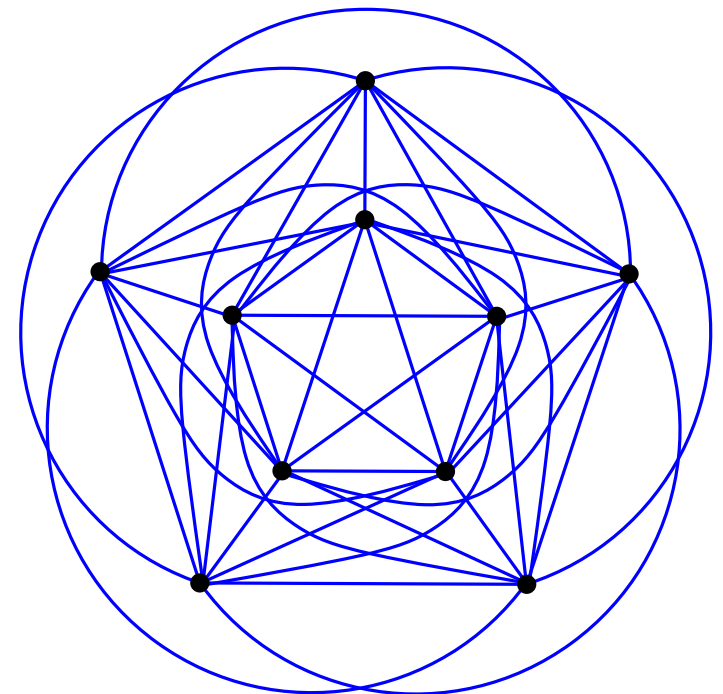
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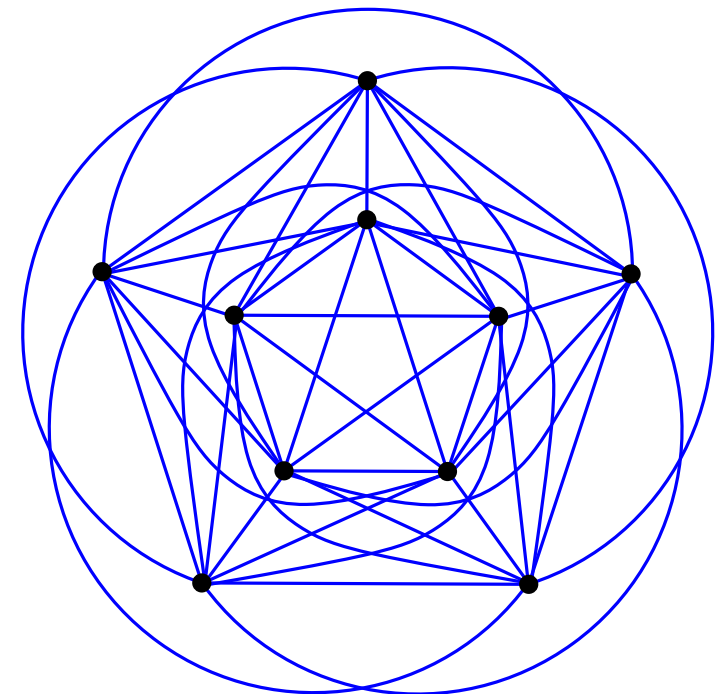
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The number of crossings in these drawings is

$$Z(n) := \frac{1}{4} \left\lfloor \frac{n}{2} \right\rfloor \left\lfloor \frac{n-1}{2} \right\rfloor \left\lfloor \frac{n-2}{2} \right\rfloor \left\lfloor \frac{n-3}{2} \right\rfloor$$

Zarankiewicz number



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- * Some known results for small n :
 - ◇ $\text{cr}(K_n) = Z(n)$ si $n \leq 10$ [Guy, 1971]
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- * This was the situation, till a new tool was borrowed from the **rectilinear case**.

Rectilinear crossing number

- * The **rectilinear crossing number** of G , $\overline{cr}(G)$, is the smallest number of crossings in drawings of G in which edges are **segments**. (Vertices in **general position**).

Rectilinear crossing number

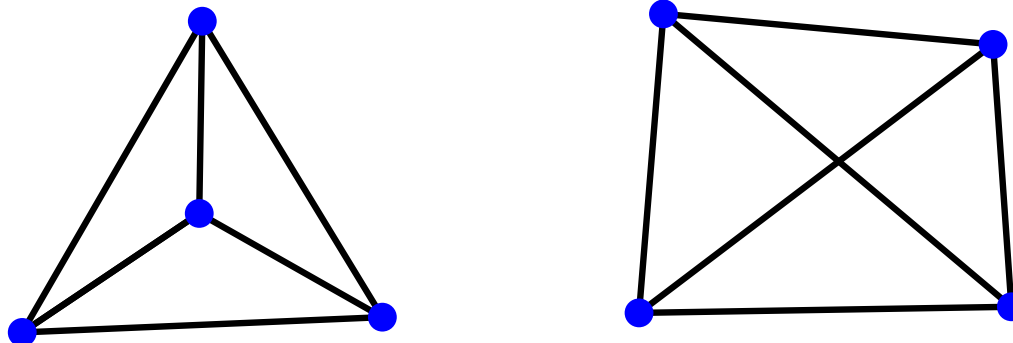
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 - ★ small values of n
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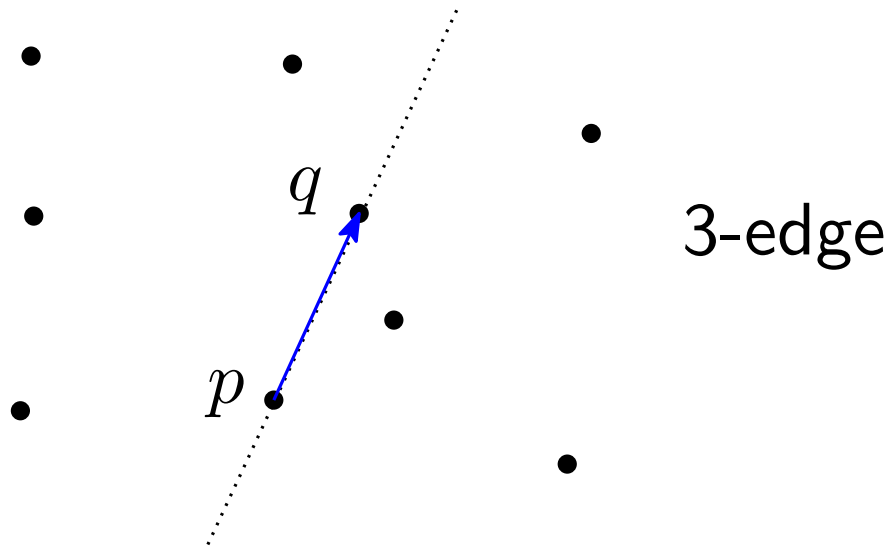
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 - ★ small values of n
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- * 2004: Ábrego - Fernández-Merchant,
Lovász-Vesztergombi-Wagner-Welzl
Relation between $\square(S)$ and the number of j -edges of S .

j -edges

- * Let S be a set of n points in the plane in general position. Given $p, q \in S$, we say that pq is an (oriented) j -edge if there are j points of S in the right half-plane defined by pq .

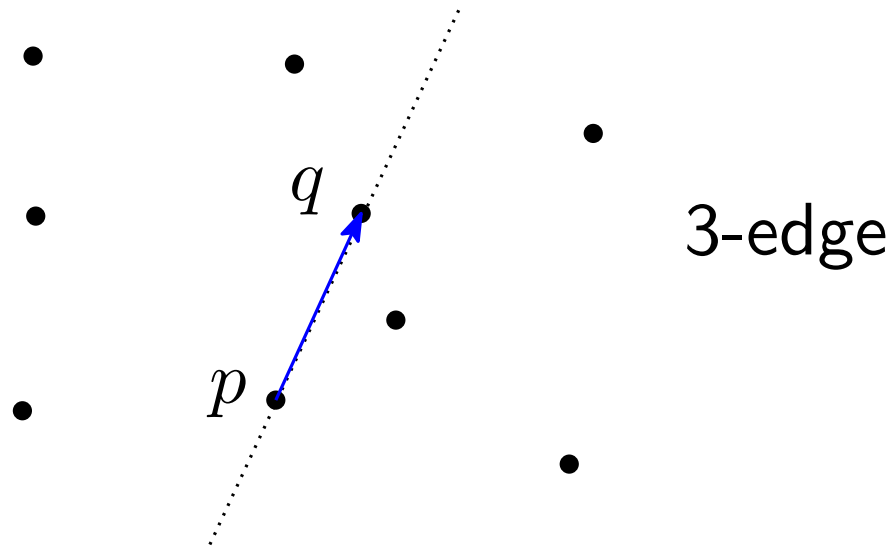
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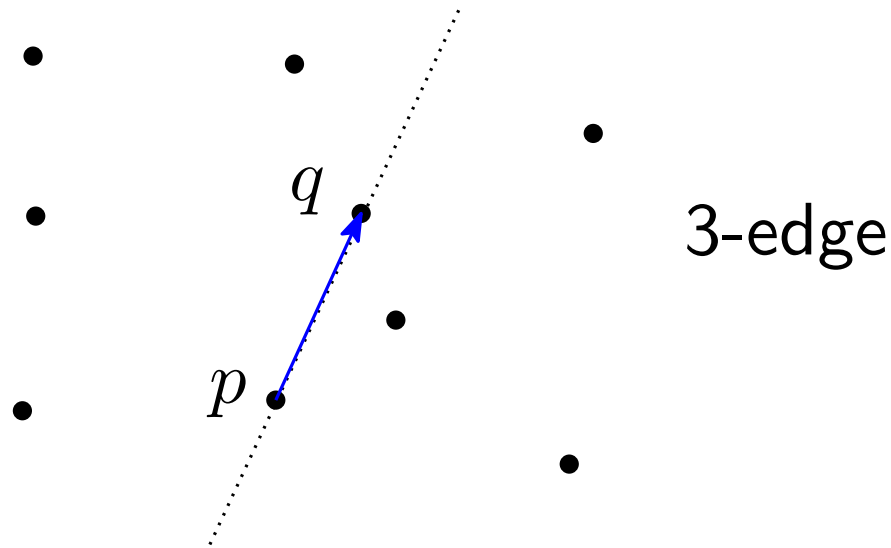
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- * $e_j(S) := \#$ j -edges of S .

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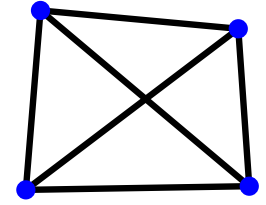
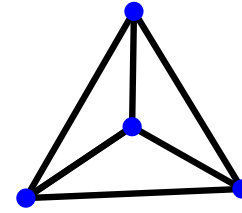
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- * $e_j(S) := \#$ j -edges of S .
- * If pq is a j -edge, then qp is a $n - j - 2$ -edge.
It is also possible to work with unoriented j -edges.

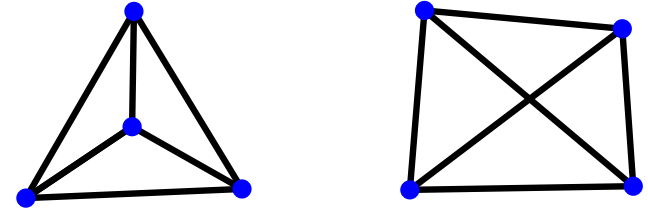
j -edges and convex quadrilaterals (crossings)

$$* \quad \Delta(S) + \square(S) = \binom{n}{4} \quad (1)$$

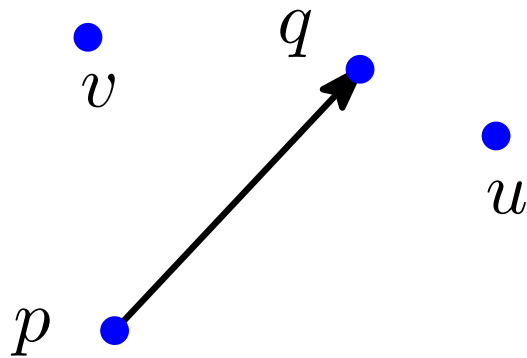


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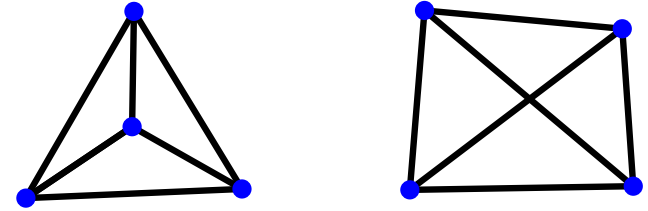


- * Another relation: double counting of separations.
A separation is a 4-tuple $\{p, q, u, v\}$ where the ordered pair p, q leaves u to the right and v to the left.

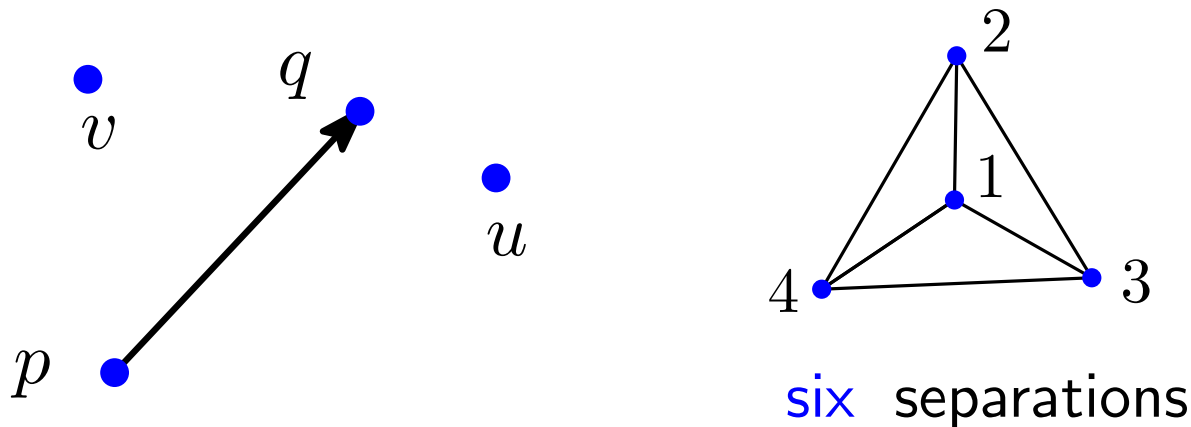


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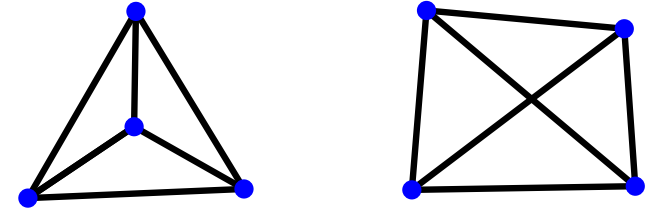


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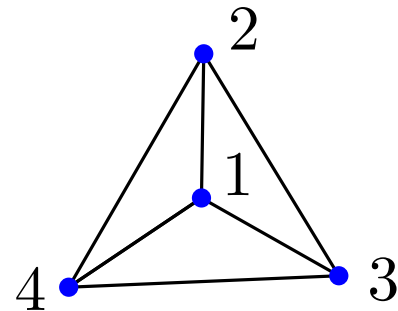
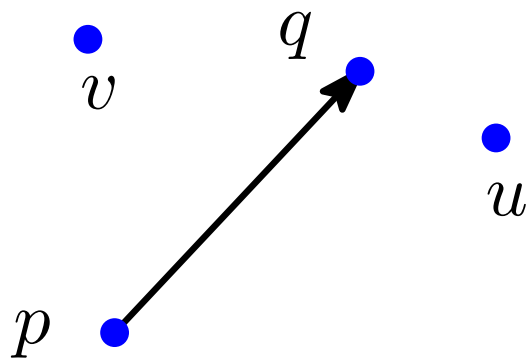
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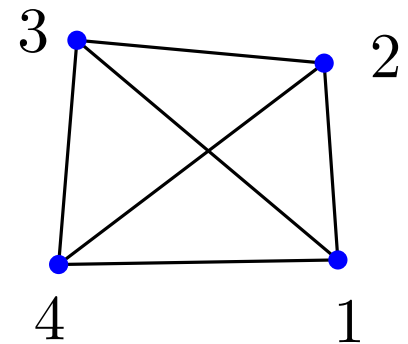


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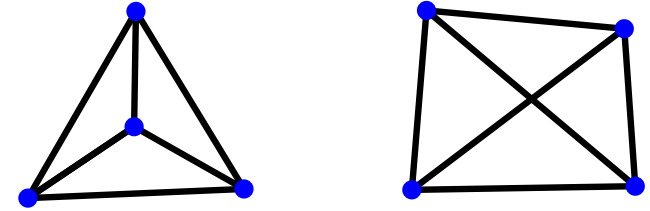
six separations



four separations

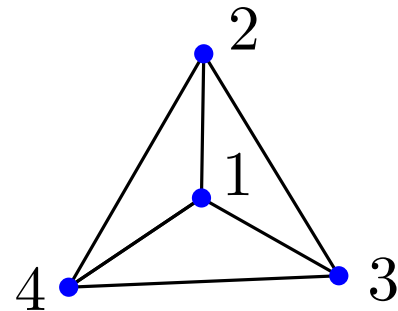
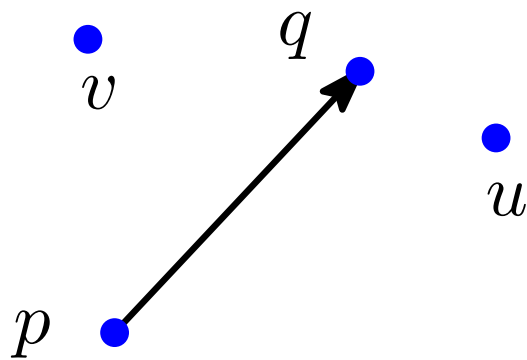
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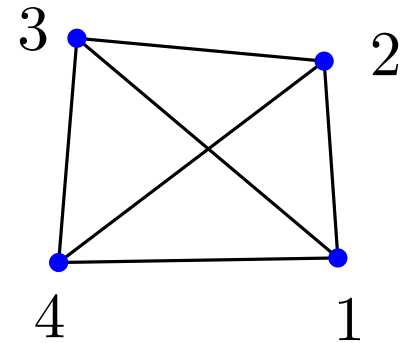


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$$* 6\Delta(S) + 4\square(S) = \sum_{j=0}^{n-2} j(n-j-2) e_j(S) \quad (2)$$

j -edges and convex quadrilaterals (crossings)

- * From these equations (and the relations $e_j = e_{n-j-2}$ and $\sum_{j=0}^{n-2} e_j = n(n-1)$) we get

$$\square(S) = \sum_{j < \frac{n-2}{2}} \left(\frac{n-2}{2} - j \right)^2 e_j(S) - \frac{3}{4} \binom{n}{3}$$

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lower bounds?

Lower bounds for $\overline{\text{cr}}(K_n)$

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\Downarrow

$$\overline{\text{cr}}(K_n) \geq 0.375 \binom{n}{4} \approx Z(n)$$

* LVWW use an improved bound for $E_{\leq k}$ (for k close to $n/2$), to show that

$$\overline{\text{cr}}(K_n) \geq 0.37501 \binom{n}{4}$$

Bounds for $\overline{\text{cr}}(K_n)$

- * 2006 – 2010 Series of improvements on the lower bound for $E_{\leq k}(S)$. (And on the lower bound for $\overline{\text{cr}}(K_n)$)
 - ★ [Balogh-Salazar'06]
 - ★ [Aichholzer-García-Orden-R.'07]
 - ★ [Ábrego, Cetina, Fernández-Merchant, Leaños, Salazar'11].

Current bounds:

$$0.37968 \binom{n}{4} + O(n^3) \leq \overline{\text{cr}}(K_n) \leq 0.380488 \binom{n}{4} + O(n^3)$$

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[Aurenhammer-Aichholzer-Krasser]

[Ábrego, Cetina, Fernández-Merchant, Leaños, Salazar]

General (topological) drawings

* BIRS - Crossing numbers turn useful. (August 2011)

If in the formula

$$\square(S) = \sum_{k < \frac{n-2}{2}} (n - 2k - 3) E_{\leq k}(S) + c_n$$

we write $3 \binom{k+2}{2}$ in the place of $E_{\leq k}(S)$ we get

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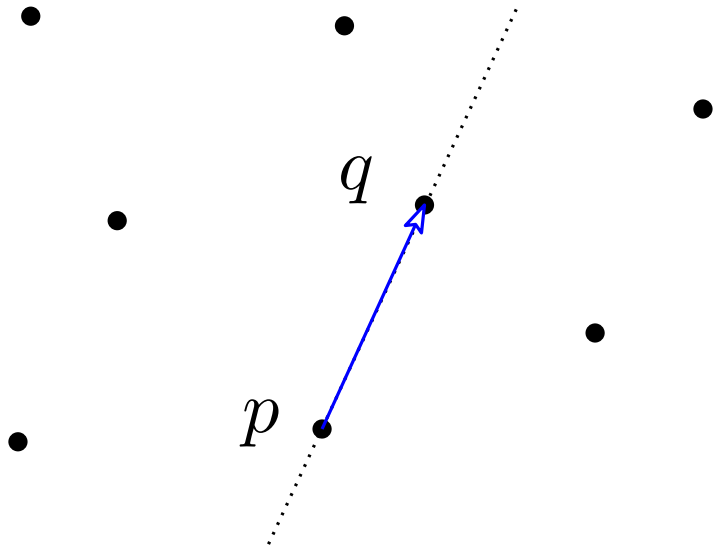
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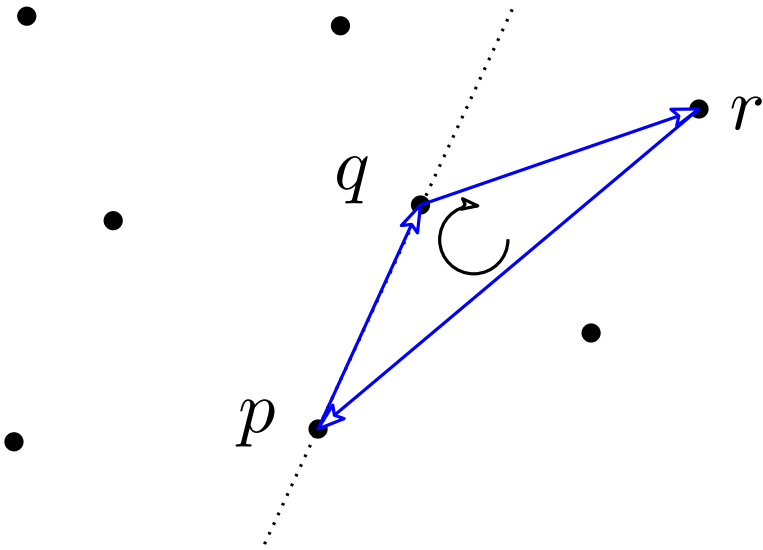
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* Is that a coincidence?

j -edges in topological drawings



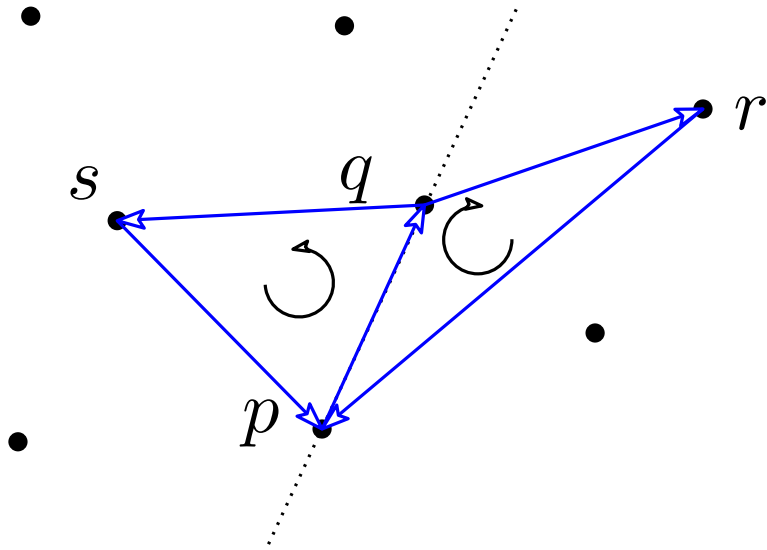
j -edges in topological drawings



Consider the triangles!

$$\sigma(pqr) = +$$

j -edges in topological drawings

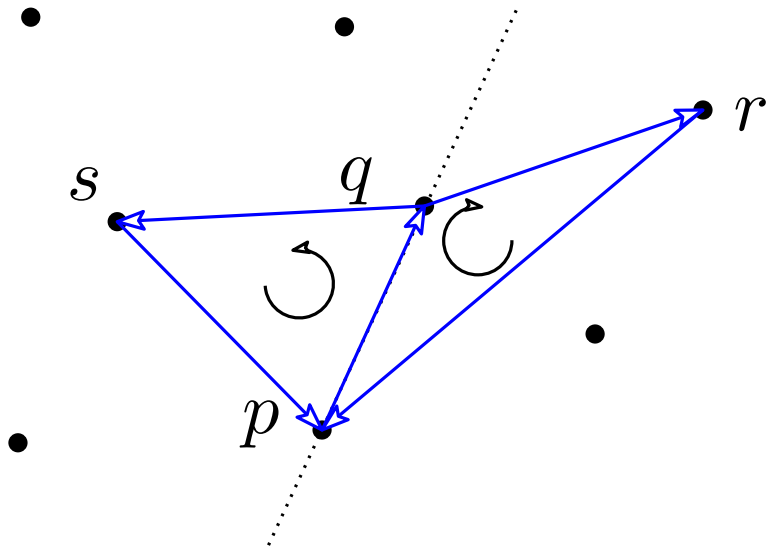


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j -edges in topological drawings



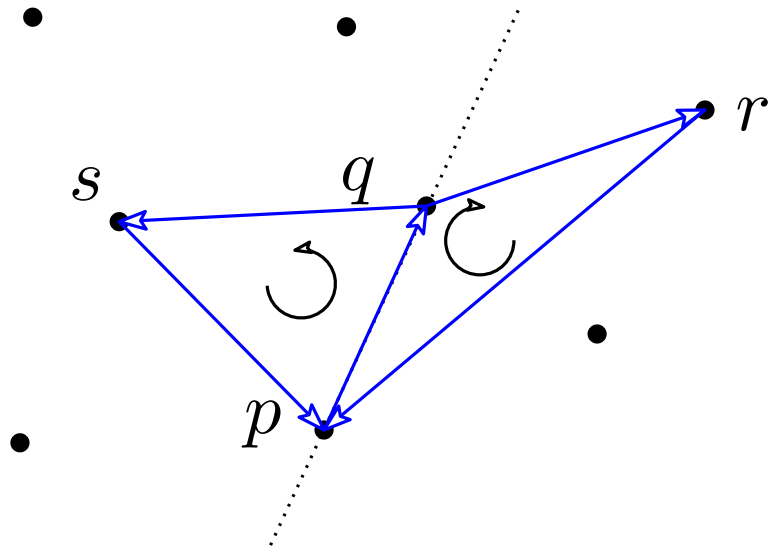
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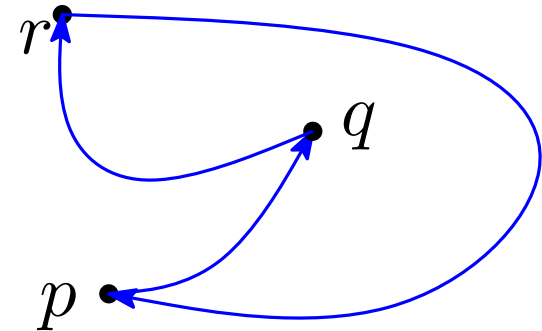


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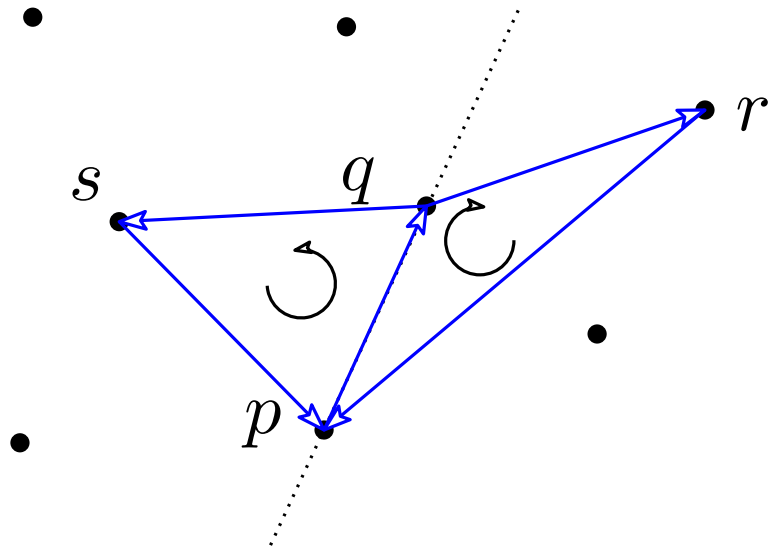
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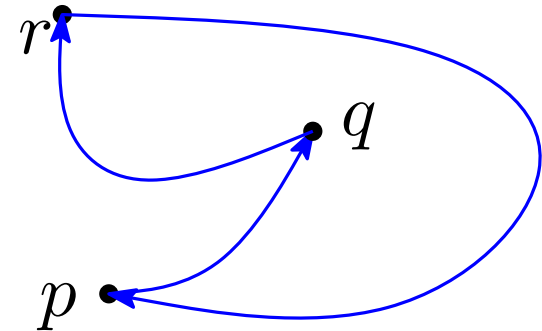


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- * And now we can define j -edges exactly as in the geometric setting.

j -edges and crossings (in topological drawings)

* Now we need to generalize the relation

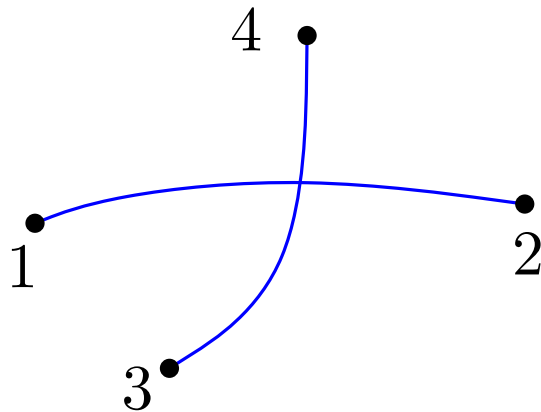
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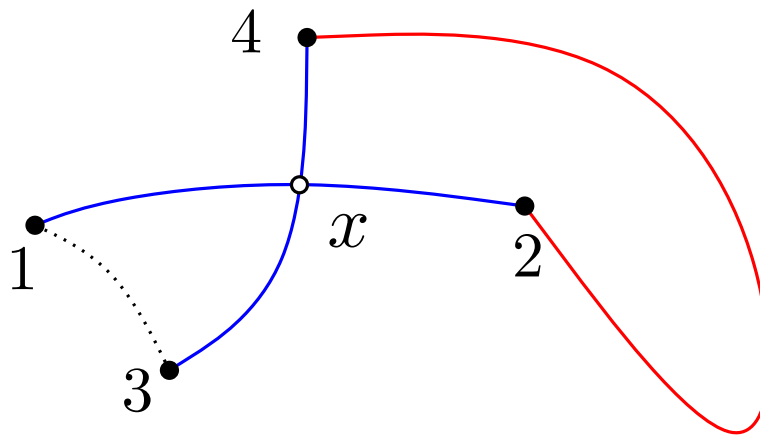


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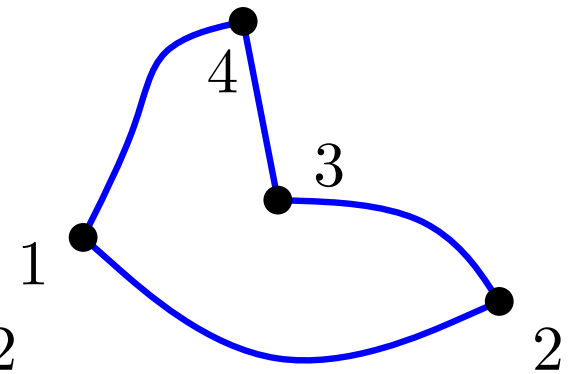
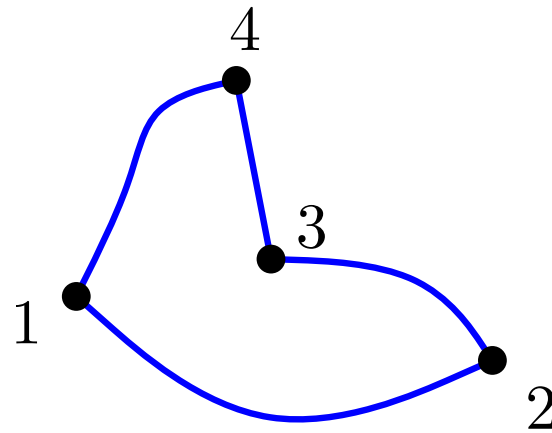
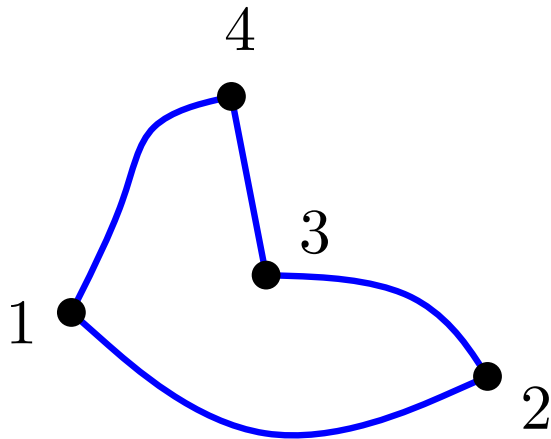
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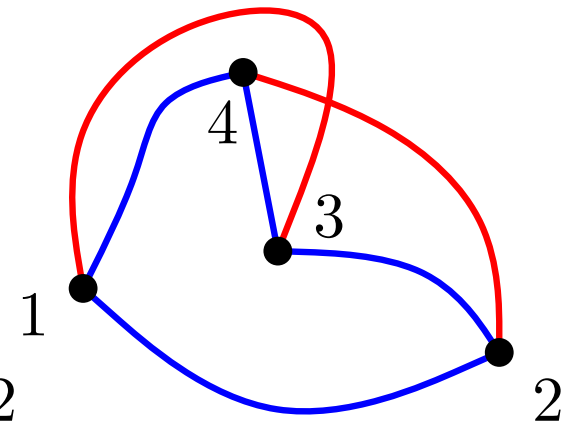
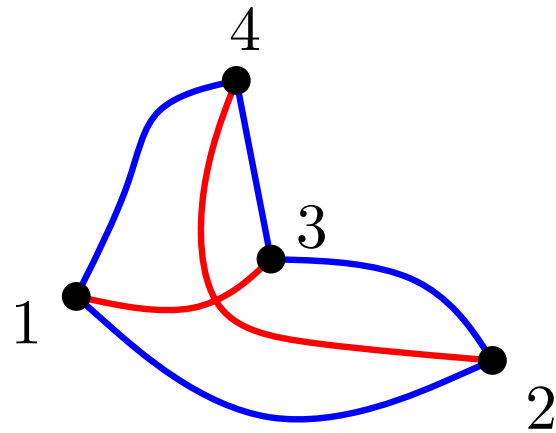
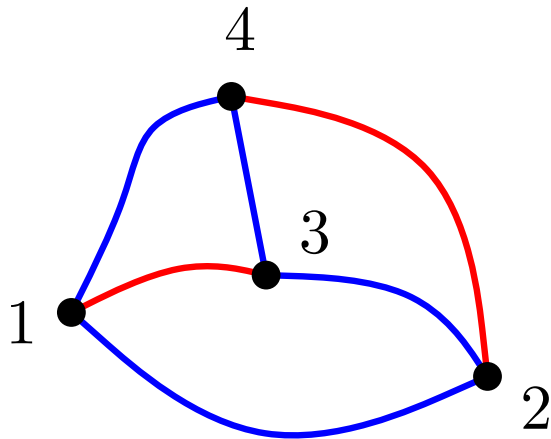
j -edges and crossings (in topological drawings)

* There are three “different” drawings of K_4 .



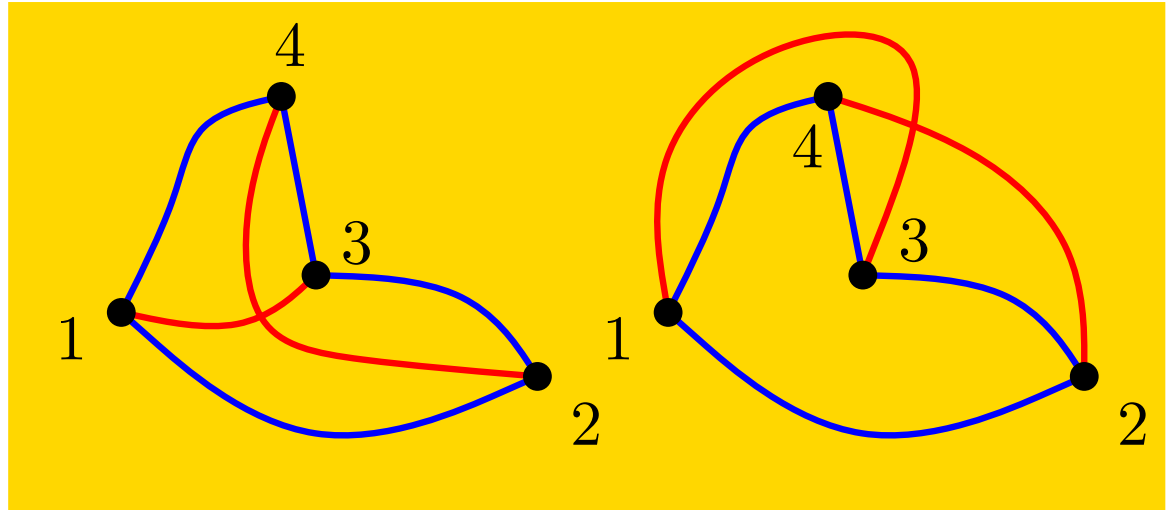
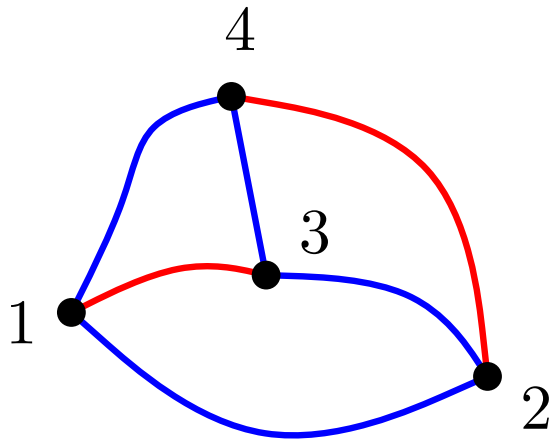
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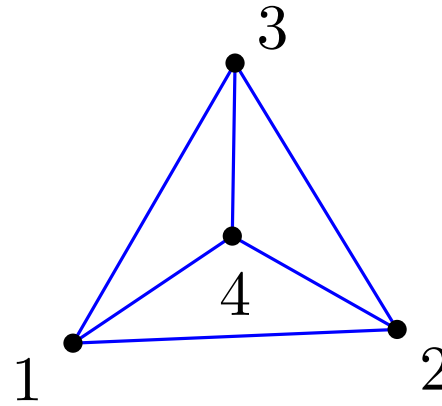
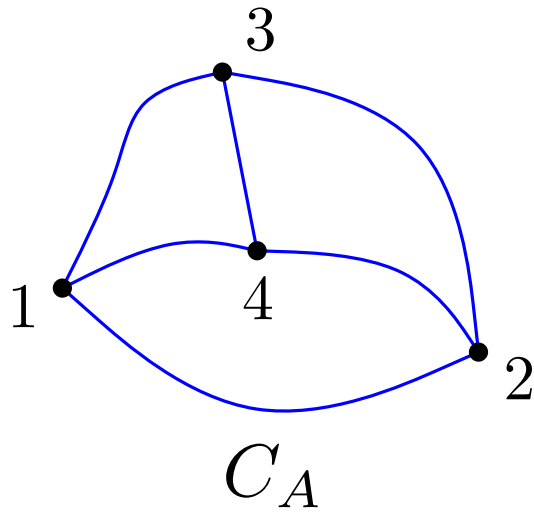


j -edges and crossings (in topological drawings)

- * The relation between j -edges and crossings is **the same** as in the rectilinear case.

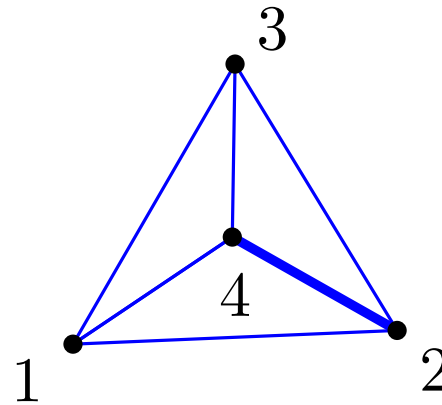
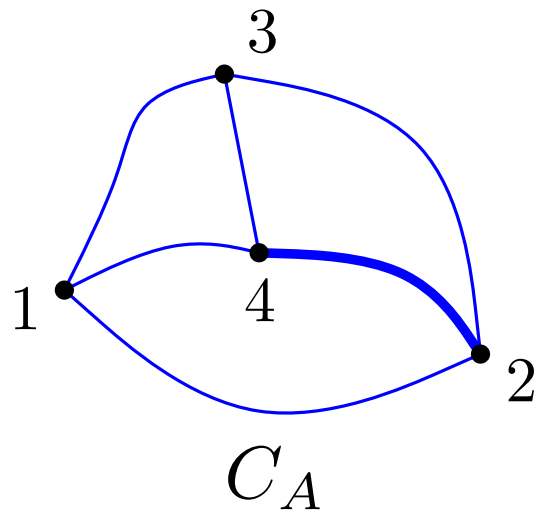
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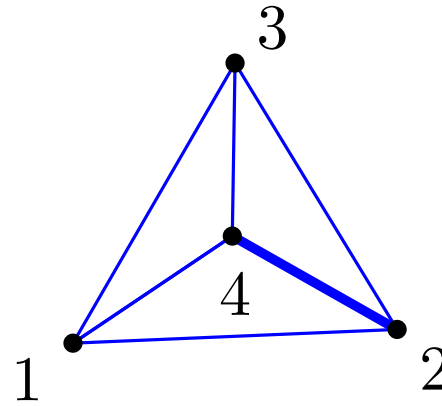
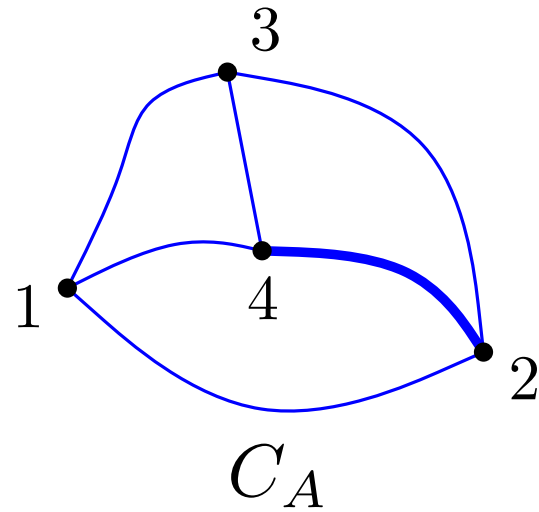
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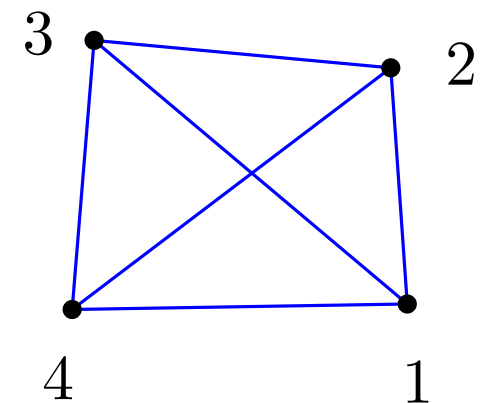
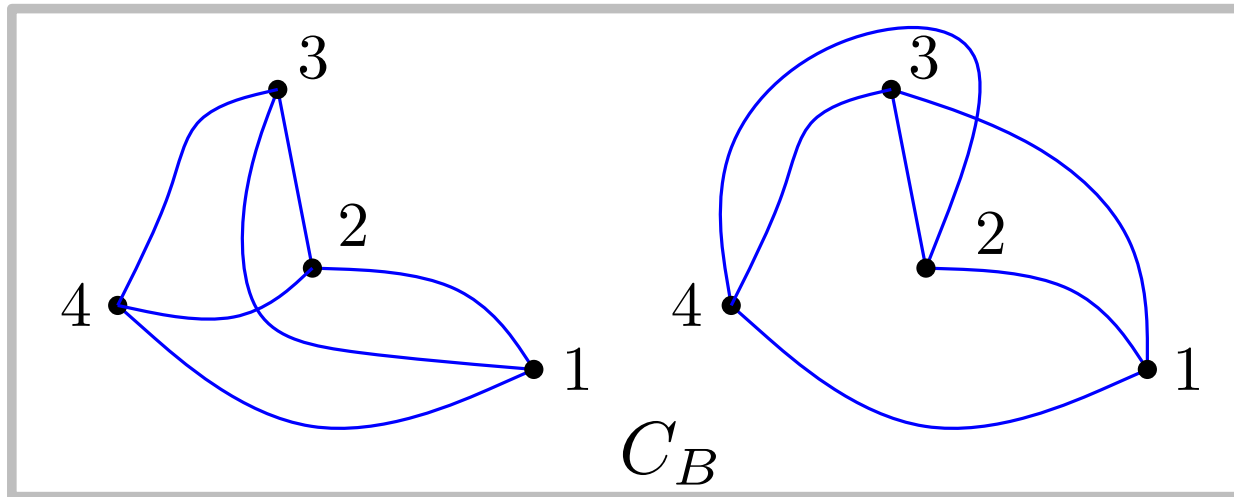
no crossing
↓
6 separations

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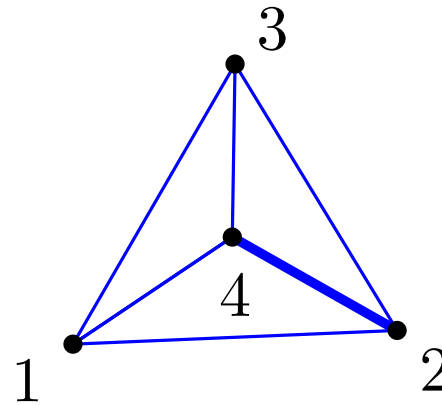
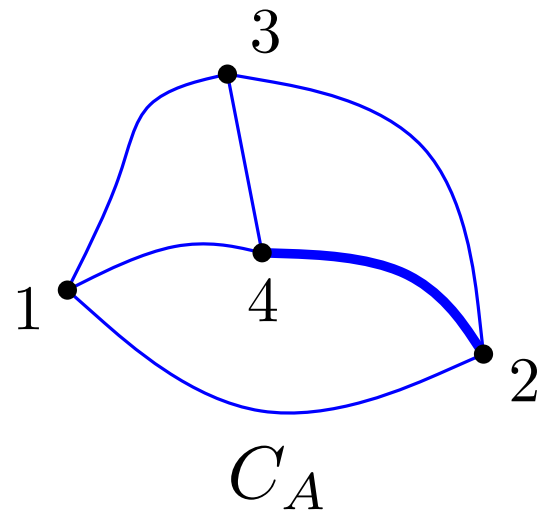


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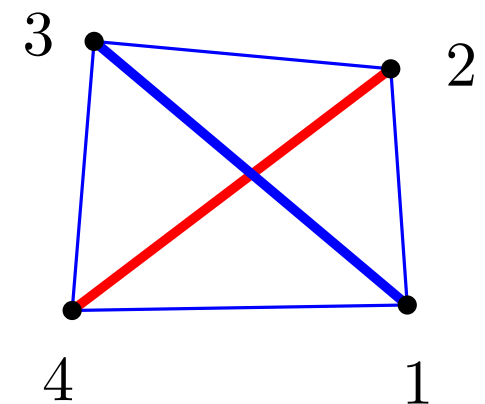
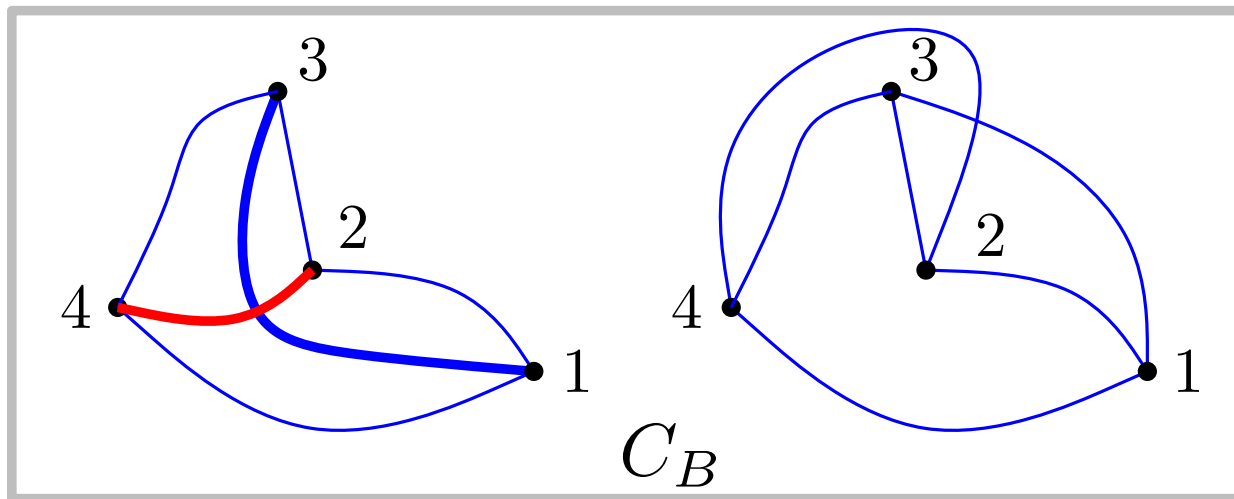


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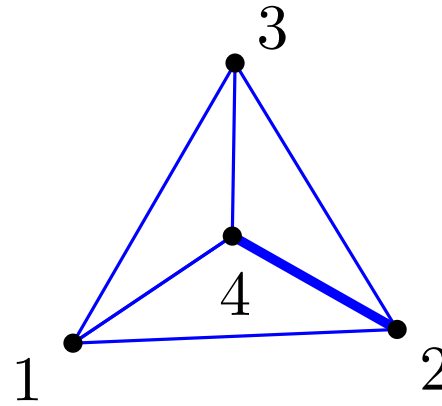
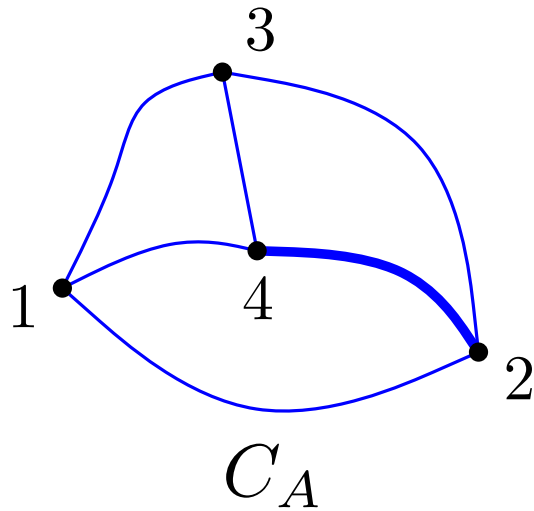


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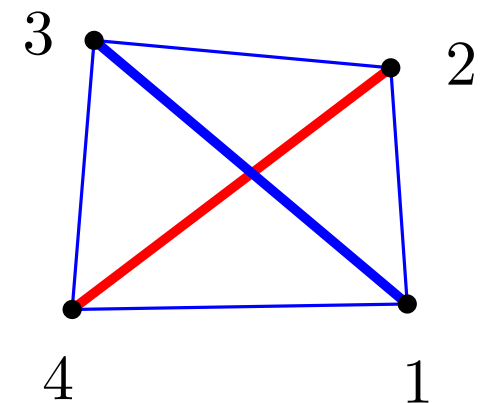
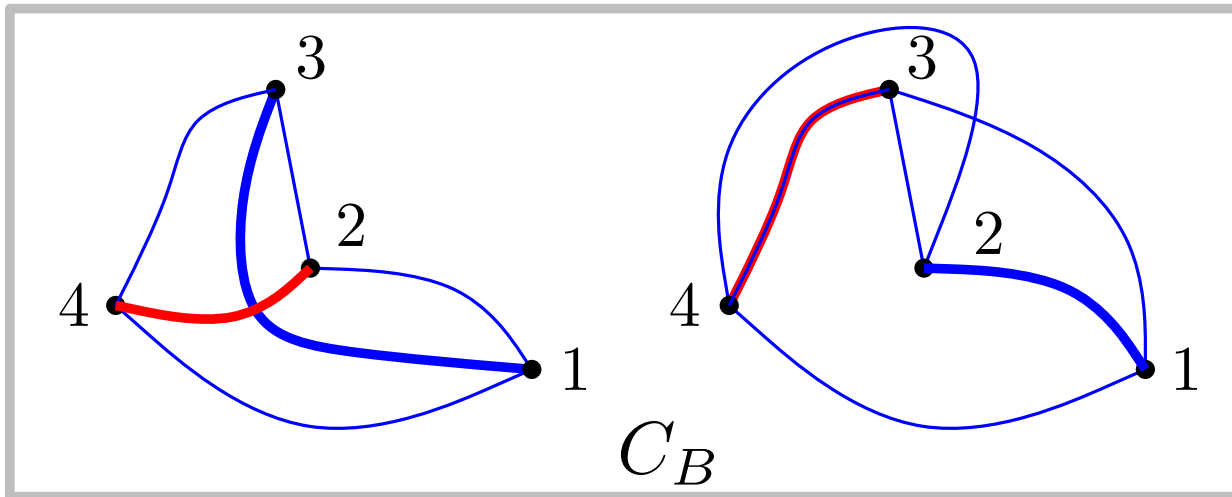


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no crossing
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 6 separations



one crossing \rightarrow 4 separations

j -edges and crossings (in topological drawings)

* So we have:

$$1. |C_B| = \text{cr}(D) \quad 2. |C_A| + |C_B| = \binom{n}{4}$$

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* Finally, using $(\leq k)$ -edges,

$$\text{cr}(D) = \sum_{k < \frac{n-2}{2}} (n - 2k - 3) E_{\leq k}(D) - \frac{3}{4} \binom{n}{3} + c_n$$

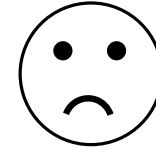
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- * If we could prove $E_{\leq k}(D) \geq 3 \binom{k+2}{2}$, we would have $\text{cr}(K_n) \geq Z(n)$.

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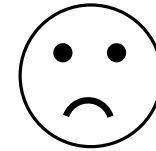
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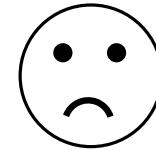


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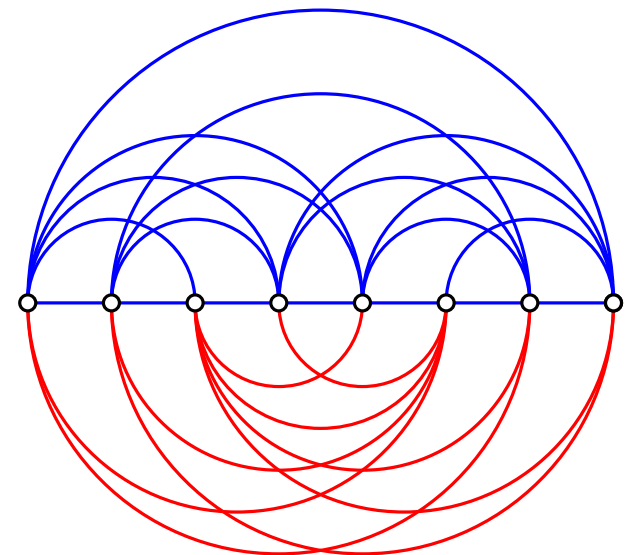
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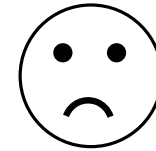
- ★ vertices on a line
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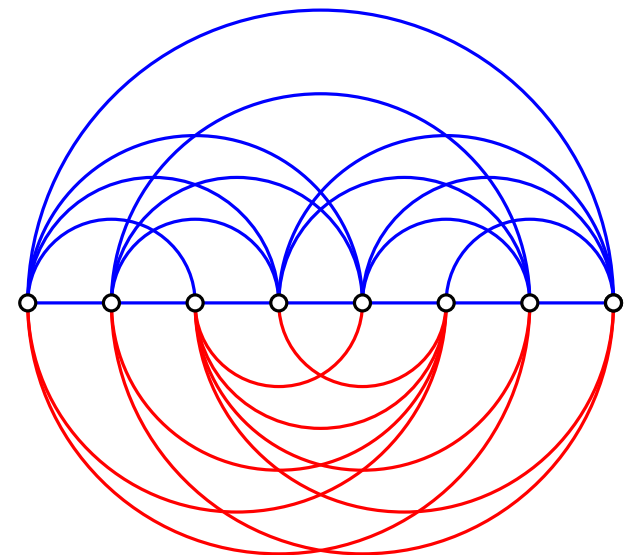
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- * Examples of 2-page drawings of K_n with $Z(n)$ crossings already known.

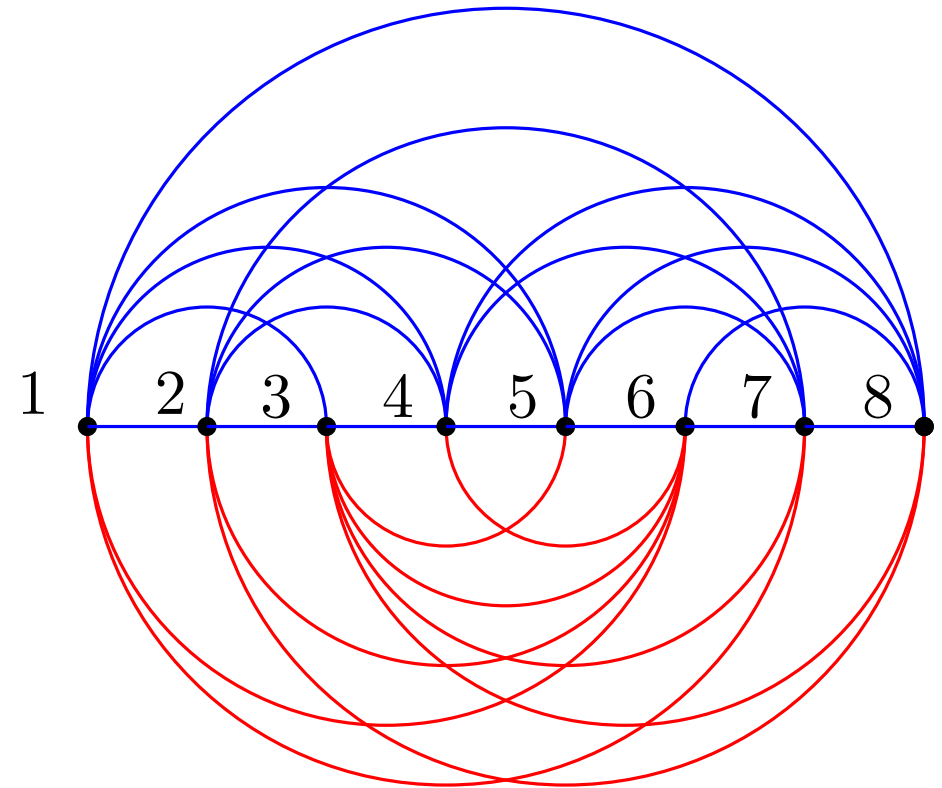
[Blažek-Koman, 1964]



2-page drawings

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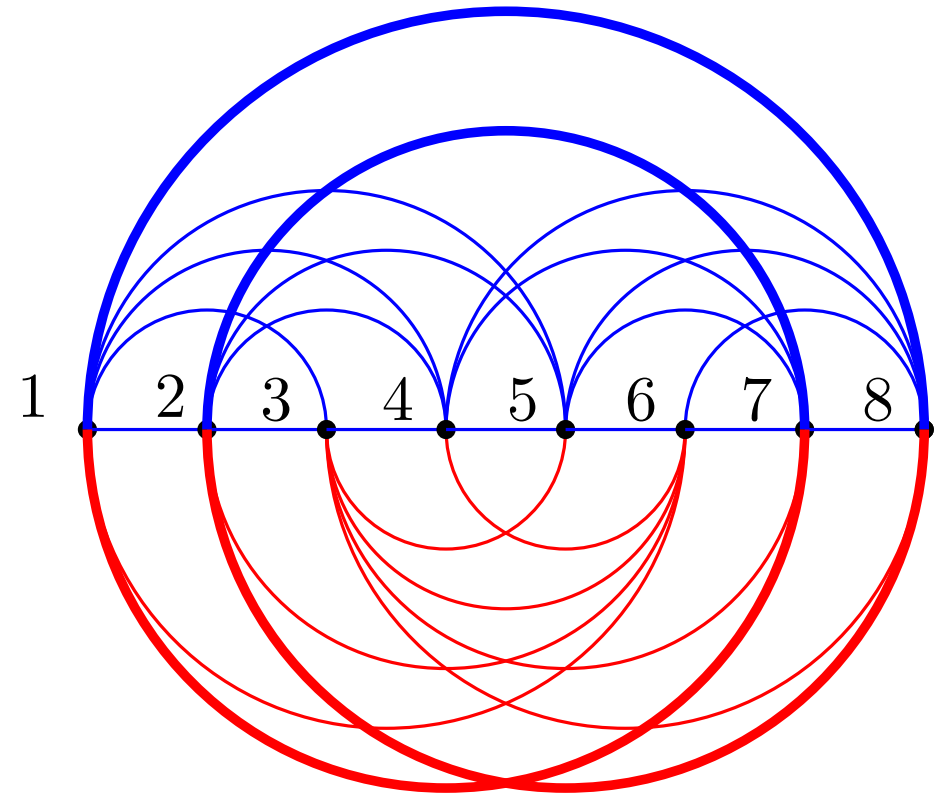
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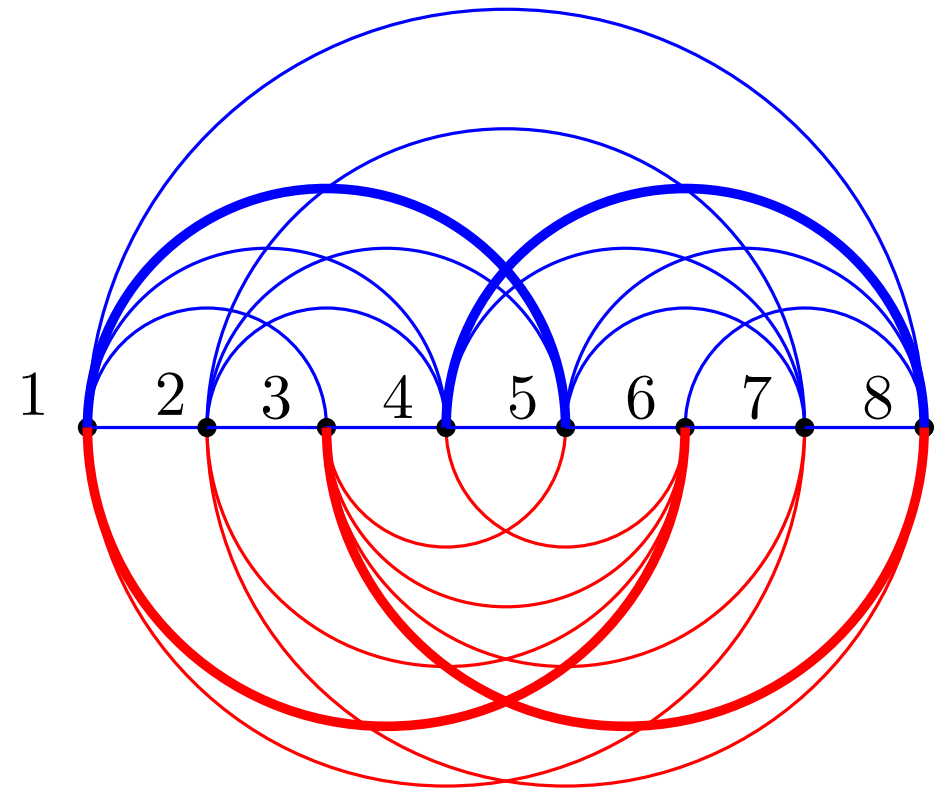


four 0-edges

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four 1-edges

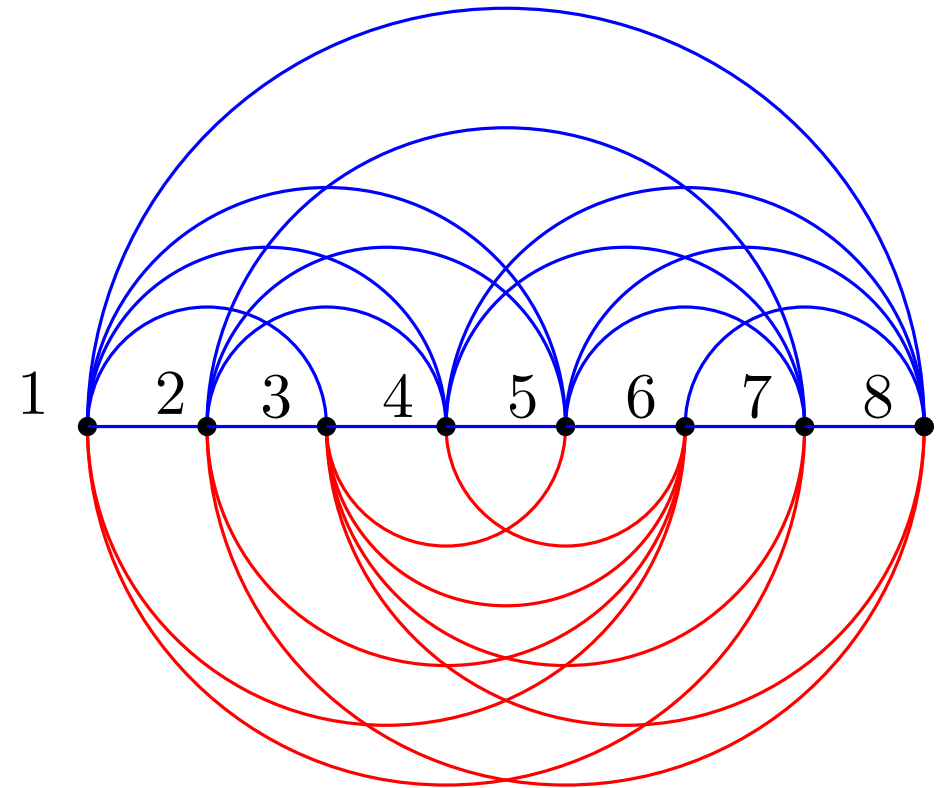
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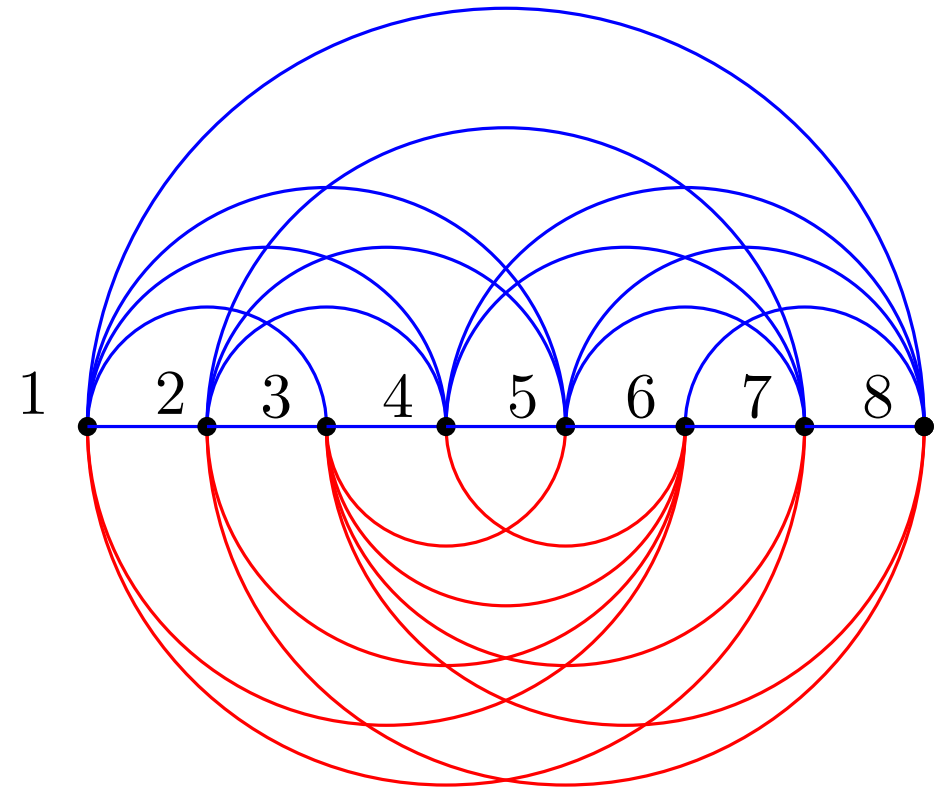
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Optimal lower bounds

- * Ábrego, Aichholzer, Fernández-Merchant, R., Salazar (2012):

$$E_{\leq \leq k}(D) \geq 3 \binom{k+3}{3} \Rightarrow \nu_2(K_n) = Z(n)$$

↑
2-page crossing
number of K_n

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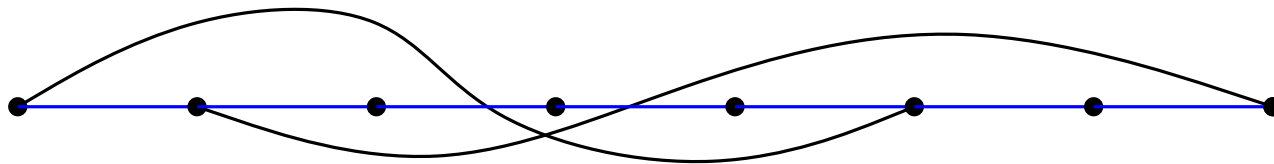
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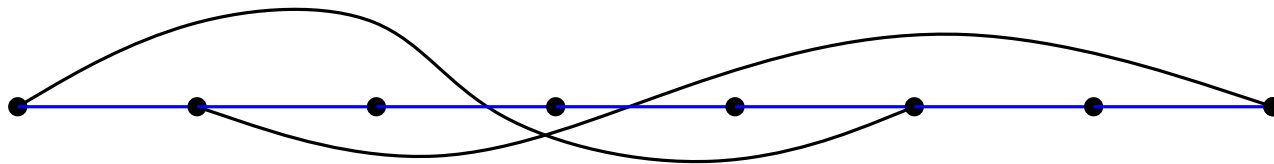
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- * **Monotone drawing**:

- ★ vertices on a line and edges monotone (w.r.t. that line)



- * In the rest of the talk, sketch of the main ideas and a further extension: ***t*-shellable drawings**.

Main ideas of the proof (for monotone drawings)

- * The proof is by induction.

We remove point n , and denote by D' the corresponding drawing of K_{n-1} .


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- *
$$E_{\leq \leq k}(D) = E_{\leq \leq k-1}(D') + 2 \binom{k+2}{2} + E_{\leq k}(D, D')$$

induction
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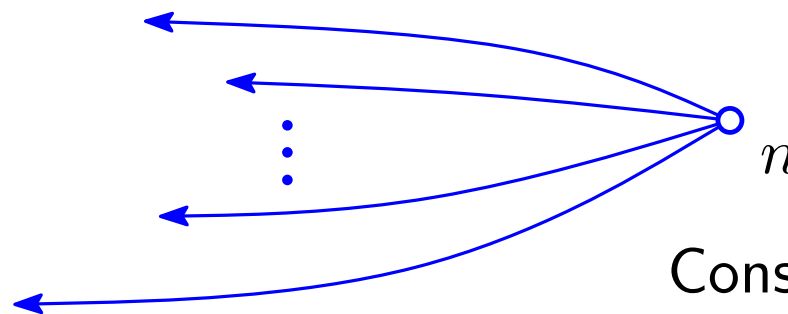
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induction
hypothesis

j -edges adjacent to n
 $j = 0, \dots, k$

0-edge
1-edge
⋮
1-edge
0-edge



From now on, we consider **unoriented** j -edges


Consider always the “light” side
($j \leq n/2 - 1$)

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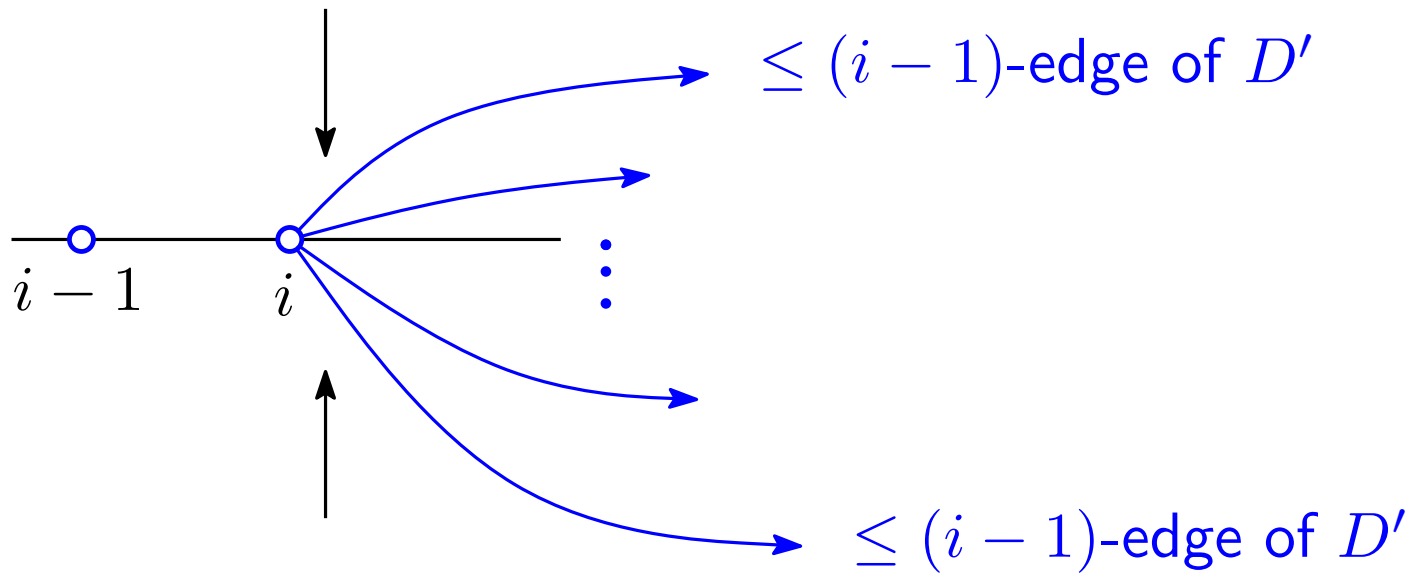
induction hypothesis j -edges adjacent to n invariant $\leq k$ -edges
 $j = 0, \dots, k$

- * A j -edge of D' is an $\leq k$ -invariant edge if it is also a j -edge of D (and $j \leq k$).

So an edge is invariant if vertex n lies on the “heavy side” of the edge.

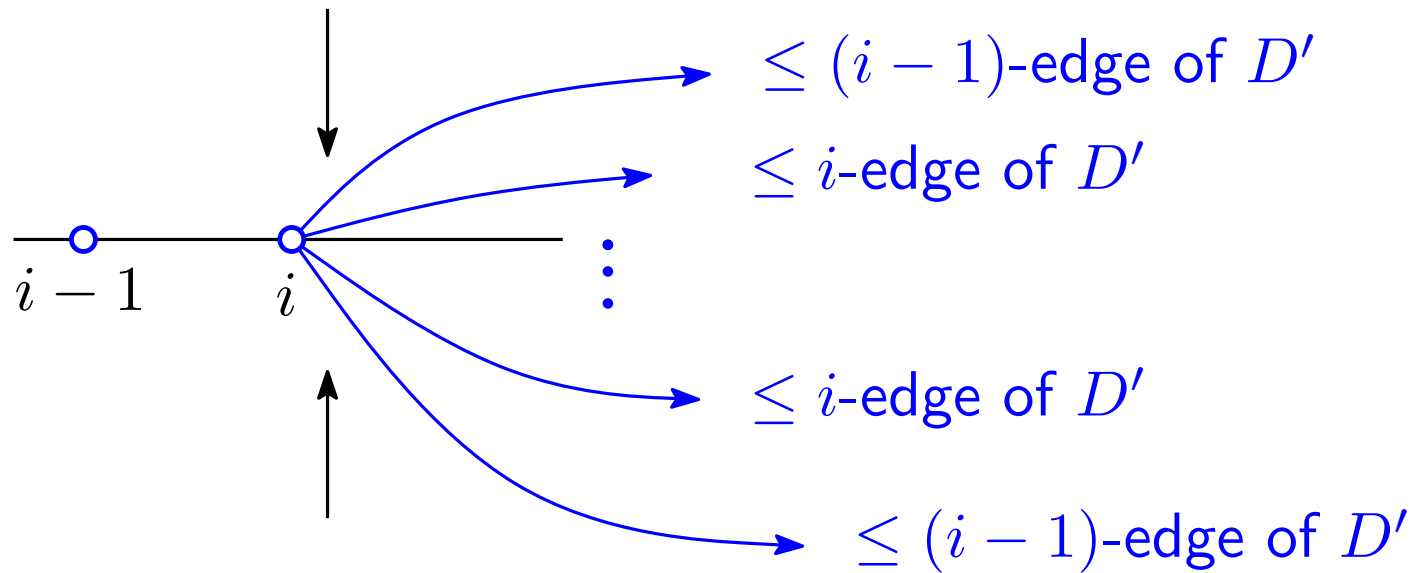
Finding invariant edges

- * Consider the edges starting at i and order them vertically.



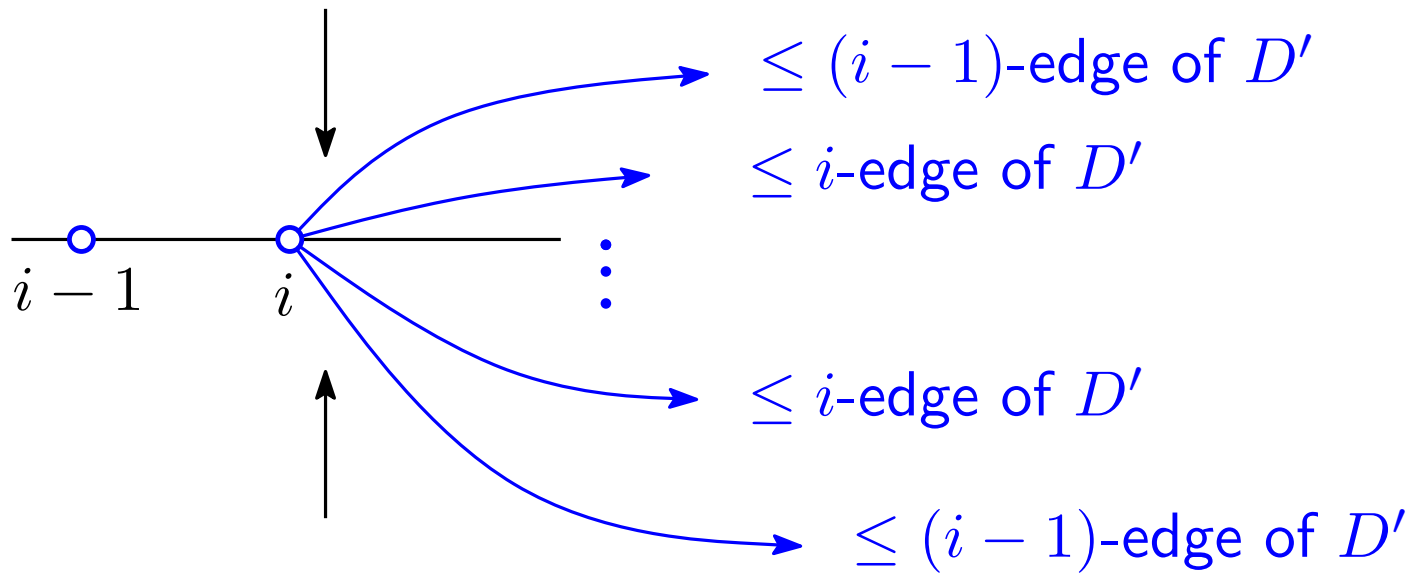
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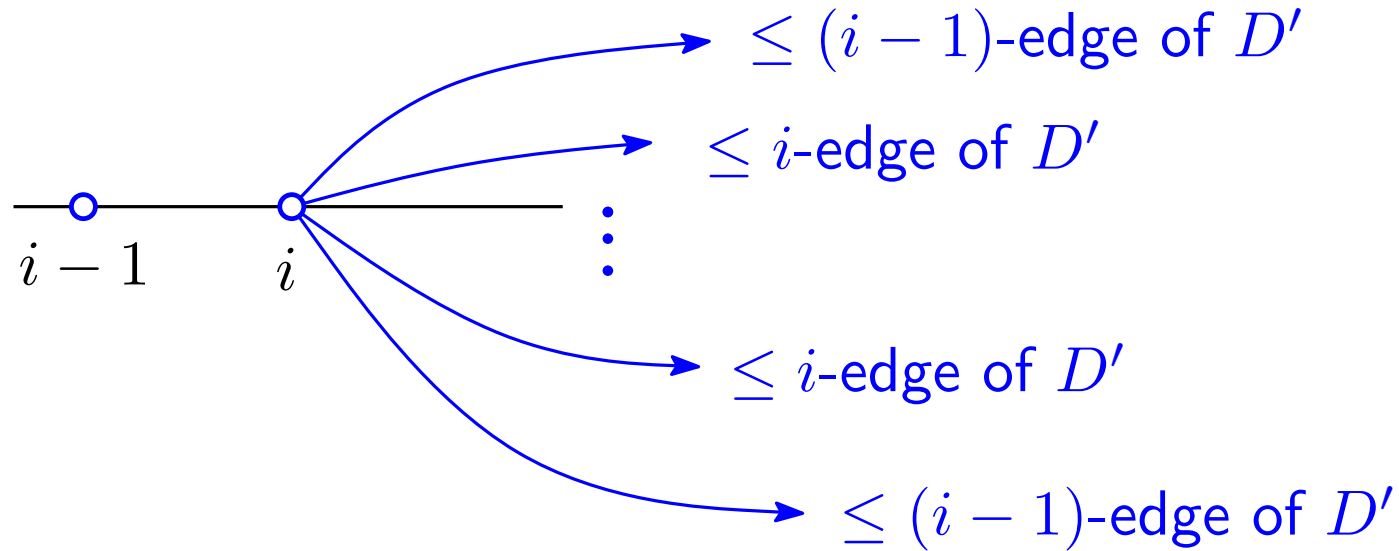
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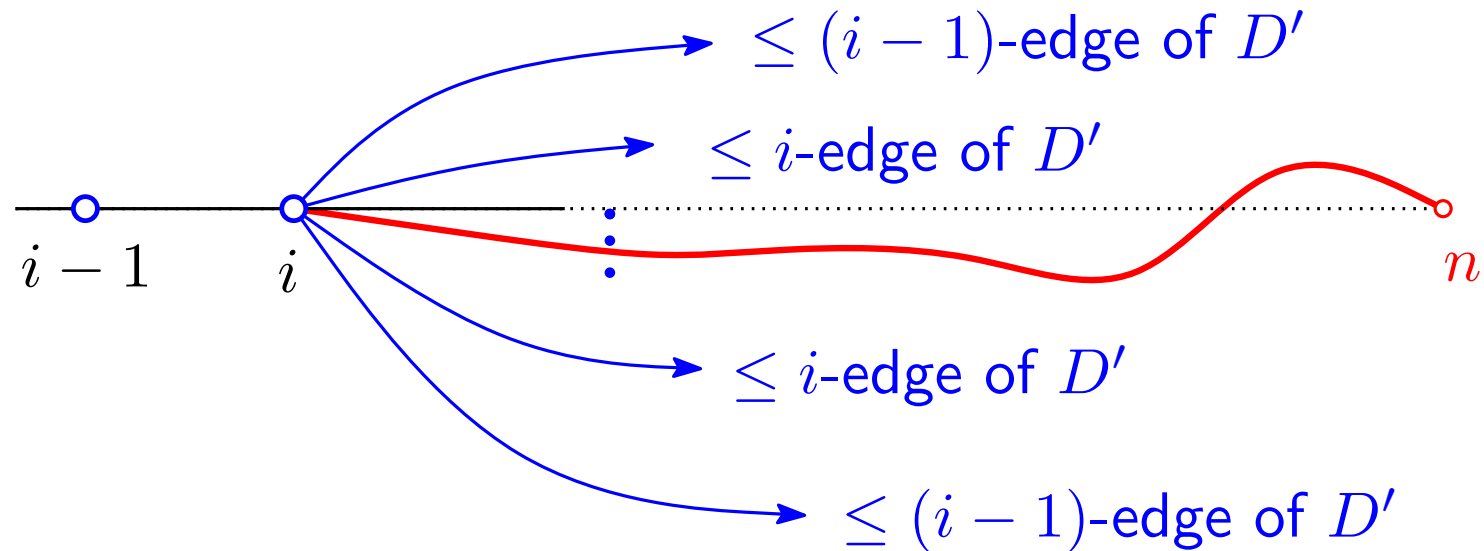
- * The m -th edge in the top-down order, is an $\leq (i + m - 2)$ -edge (while $i + m - 2 \leq n/2 - 1$).
The same is true for the bottom-up order.

Finding invariant edges



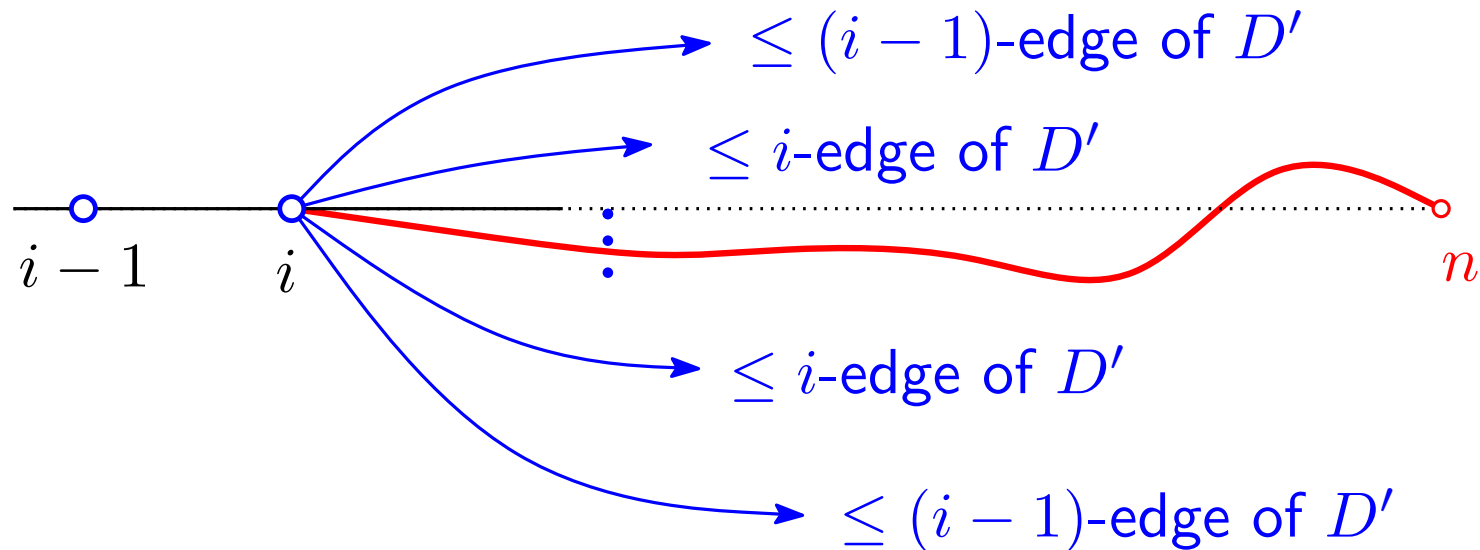
* Are there enough invariant edges?

Finding invariant edges



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Finding invariant edges



- * Are there enough invariant edges?
- * Sweep (top-down and bottom-up) edges starting at i : all the edges that we find before reaching in (or half of the edges) **are invariant**.

Finding invariant edges

* Invariant $\leq k$ -edges starting at i . At least

one $\leq (i - 1)$ -edge

one $\leq i$ -edge

\vdots

one $\leq k$ -edge

$k - i + 2$ invariant $\leq k$ -edges
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$$\begin{aligned}
 E_{\leq \leq k}(D) &= E_{\leq \leq k-1}(D') + 2 \binom{k+2}{2} + E_{\leq k}(D, D') \\
 &\geq 3 \binom{k+2}{3} + 2 \binom{k+2}{2} + \binom{k+2}{2}
 \end{aligned}$$

Finding invariant edges

* Invariant $\leq k$ -edges starting at i . At least

one $\leq (i - 1)$ -edge

one $\leq i$ -edge

\vdots

one $\leq k$ -edge

} $k - i + 2$ invariant $\leq k$ -edges starting at vertex i .

* Considering $i = 1, \dots, k$, we get $E_{\leq k}(D, D') \geq \binom{k+2}{2}$

$$E_{\leq k}(D) = E_{\leq k-1}(D') + 2 \binom{k+2}{2} + E_{\leq k}(D, D')$$

$$\geq 3 \binom{k+2}{3} + 2 \binom{k+2}{2} + \binom{k+2}{2}$$

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 E_{\leq \leq k}(D) &= E_{\leq \leq k-1}(D') + 2 \binom{k+2}{2} + E_{\leq k}(D, D') \\
 &\geq 3 \binom{k+2}{3} + 2 \binom{k+2}{2} + \binom{k+2}{2} = 3 \binom{k+3}{3}
 \end{aligned}$$

Lower bound

* Using $\text{cr}(D) = 2 \sum_{k=0}^{\lfloor n/2 \rfloor - 3} E_{\leq \leq k}(D) + c_n$

$$E_{\leq \leq k}(D) \geq 3 \binom{k+3}{3} \Rightarrow \text{cr}(D) \geq Z(n)$$

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- * What properties of monotone drawings are we really using in the proof?

Shellable drawings

- * Only that vertices i and n are on the boundary of the drawing obtained when vertices $1, 2, \dots, i - 1$ are deleted.
boundary of D : boundary of the unbounded face

Vertex $n - 1$ is also on the boundary when vertex n is deleted, and so on.

Shellable drawings

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- * Of course, there is nothing special with the unbounded face: we can take any face of the drawing and convert it the unbounded one.

Shellable drawings

- * Only that vertices i and n are on the boundary of the drawing obtained when vertices $1, 2, \dots, i-1$ are deleted.
boundary of D : boundary of the unbounded face
- * Of course, there is nothing special with the unbounded face: we can take any face of the drawing and convert it the unbounded one.
- * For $1 \leq i < j \leq t$, let D_{ij} be the drawing obtained from D by removing vertices $\{v_1, \dots, v_{i-1}, v_{j+1}, \dots, v_t\}$.

A drawing D of K_n is t -shellable if there exists a subset of vertices $S = \{v_1, v_2, \dots, v_t\}$ and a face F such that for all $1 \leq i < j \leq t$ vertices v_i and v_j are on the boundary of the face of D_{ij} containing F .

t -shellable drawings

* Examples:

★ monotone drawings are n -shellable.

★ x -bounded drawings are n -shellable.

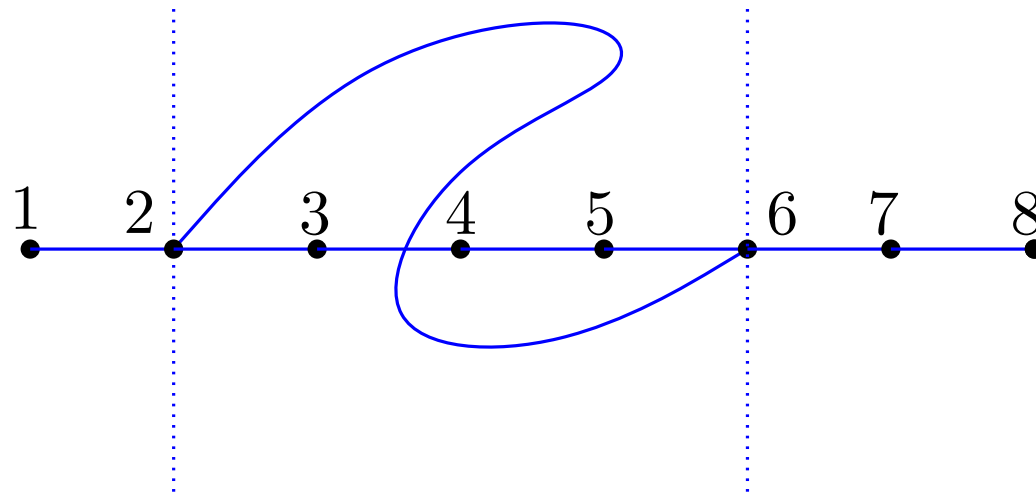


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* Theorem: If a drawing D of K_n is t -shellable then

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for all $k \leq t - 2$.

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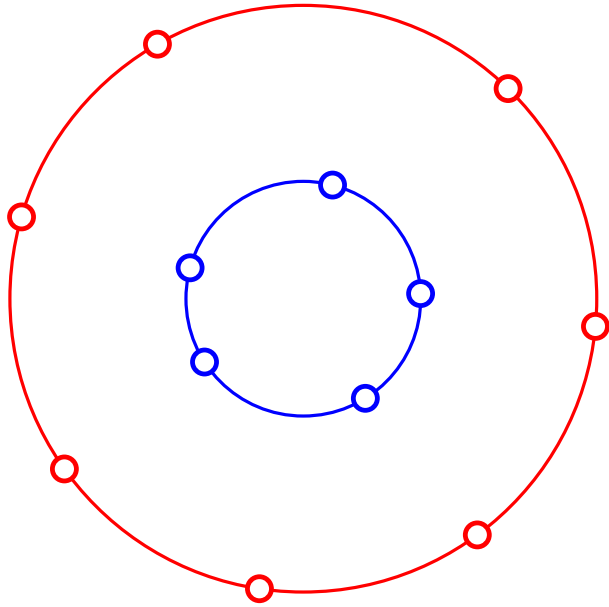
* Theorem: If a drawing D of K_n is t -shellable then

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* Theorem: If a drawing D of K_n is t -shellable for some $t \geq n/2$ then $\text{cr}(D) \geq Z(n)$.

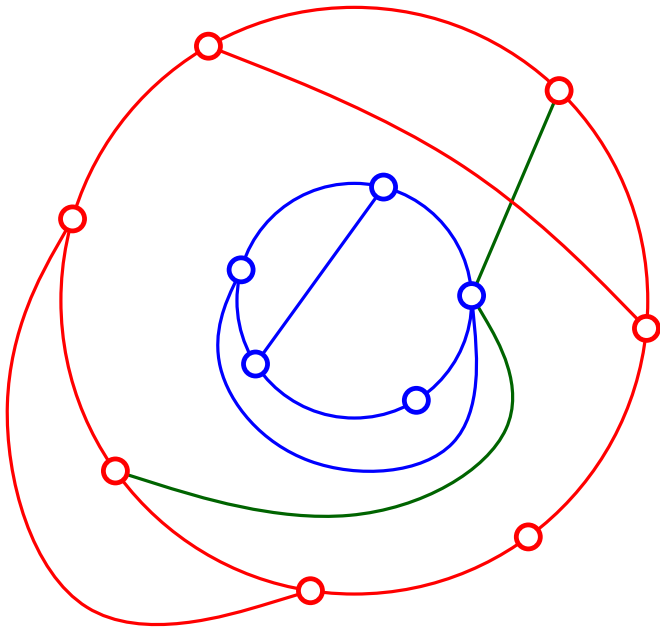
Cylindrical drawings



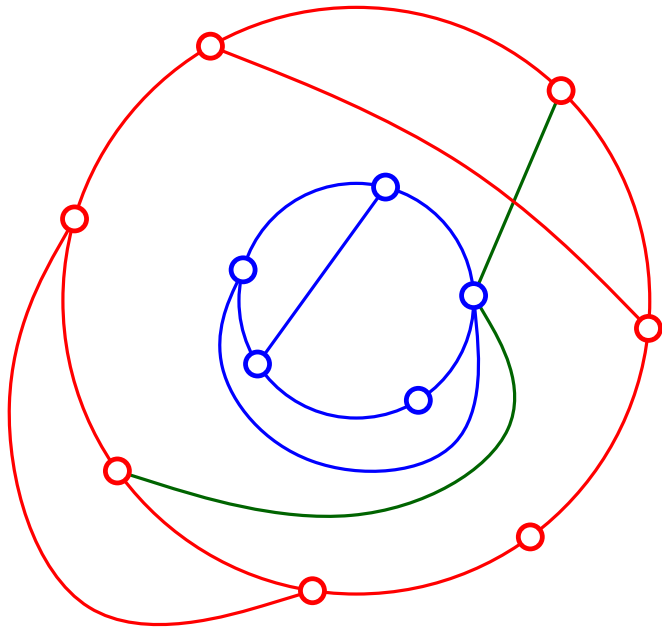
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Cylindrical drawings

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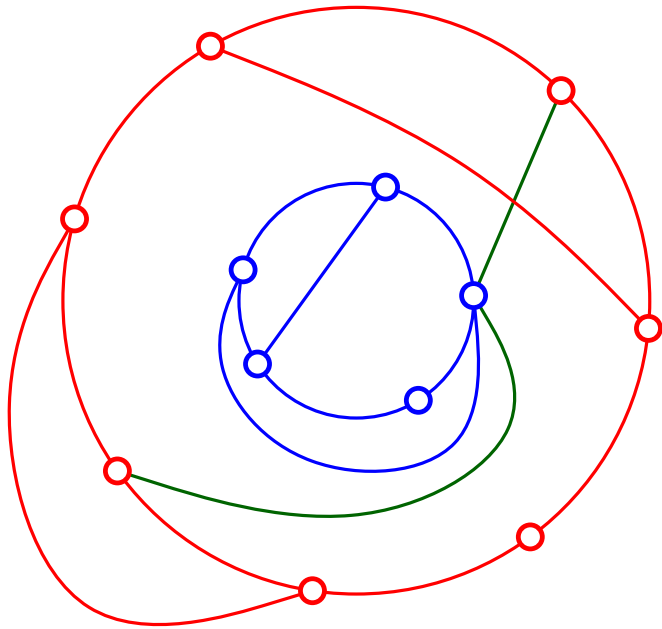
Cylindrical drawings



A drawing is **cylindrical** if it contains two crossing-free cycles spanning the set of vertices.

Partial results for equal size sets
[Richter-Thomassen'97]

Cylindrical drawings

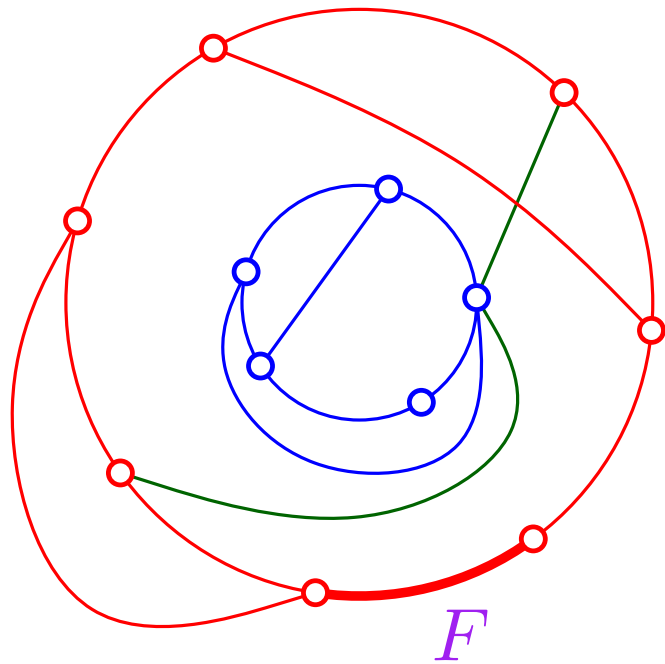


A drawing is **cylindrical** if it contains two crossing-free cycles spanning the set of vertices.

Partial results for equal size sets
[Richter-Thomassen'97]

- * Any cylindrical drawing of K_n is **$n/2$ -shellable**.

Cylindrical drawings

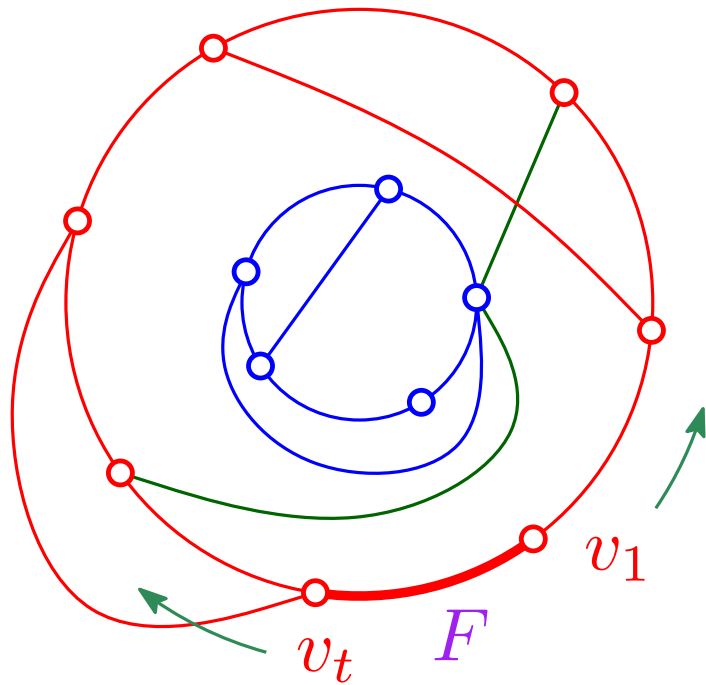


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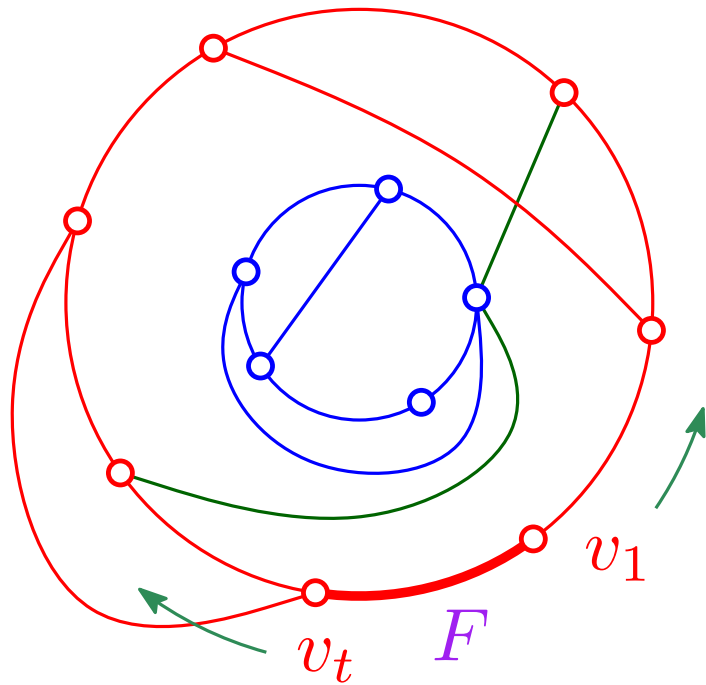
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$$t \geq n/2$$

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Cylindrical drawings



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Partial results for equal size sets
[Richter-Thomassen'97]

$$t \geq n/2$$

- * Any cylindrical drawing of K_n is $n/2$ -shellable.
- * The number of crossings in any cylindrical drawing of K_n is at least $Z(n)$.

Conclusions

- * Two known families of optimal drawings:
 - ▷ 2-page drawings
 - ▷ cylindrical drawings

Lower bound known for those families.

Conclusions

- * Two known families of optimal drawings:

- ▷ 2-page drawings

- ▷ cylindrical drawings

Lower bound known for those families.

- * Open problems:

- ▷ other families of optimal drawings?

- ▷ prove that they are really optimal!

Shellable drawings

and the crossing number of the complete graph

Thank you for your attention

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